

THIS FILING IS

Item 1: An Initial (Original) Submission OR Resubmission No. _____

Form 1 Approved
OMB No.1902-0021
(Expires 11/30/2022)
Form 1-F Approved
OMB No.1902-0029
(Expires 11/30/2022)
Form 3-Q Approved
OMB No.1902-0205
(Expires 11/30/2022)



FERC FINANCIAL REPORT

FERC FORM No. 1: Annual Report of Major Electric Utilities, Licensees and Others and Supplemental Form 3-Q: Quarterly Financial Report

These reports are mandatory under the Federal Power Act, Sections 3, 4(a), 304 and 309, and 18 CFR 141.1 and 141.400. Failure to report may result in criminal fines, civil penalties and other sanctions as provided by law. The Federal Energy Regulatory Commission does not consider these reports to be of confidential nature

Exact Legal Name of Respondent (Company)

NorthWestern Corporation

Year/Period of Report

End of 2020/Q3

REPORT OF MAJOR ELECTRIC UTILITIES, LICENSEES AND OTHER

IDENTIFICATION

01 Exact Legal Name of Respondent NorthWestern Corporation		02 Year/Period of Report End of <u>2020/Q3</u>
03 Previous Name and Date of Change <i>(if name changed during year)</i> / /		
04 Address of Principal Office at End of Period <i>(Street, City, State, Zip Code)</i> 3010 West 69th Street, Sioux Falls, SD 57108		
05 Name of Contact Person Elaine A. Vesco		06 Title of Contact Person Assistant Controller
07 Address of Contact Person <i>(Street, City, State, Zip Code)</i> 11 East Park Street, Butte, MT 59701		
08 Telephone of Contact Person, <i>Including Area Code</i> (406) 497-2759	09 This Report Is (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	10 Date of Report <i>(Mo, Da, Yr)</i> 09/30/2020

QUARTERLY CORPORATE OFFICER CERTIFICATION

The undersigned officer certifies that:

I have examined this report and to the best of my knowledge, information, and belief all statements of fact contained in this report are correct statements of the business affairs of the respondent and the financial statements, and other financial information contained in this report, conform in all material respects to the Uniform System of Accounts.

01 Name Crystal D. Lail	03 Signature Crystal D. Lail	04 Date Signed <i>(Mo, Da, Yr)</i> 11/09/2020
02 Title VP and Chief Accounting Officer		

Title 18, U.S.C. 1001 makes it a crime for any person to knowingly and willingly to make to any Agency or Department of the United States any false, fictitious or fraudulent statements as to any matter within its jurisdiction.

LIST OF SCHEDULES (Electric Utility)

Enter in column (c) the terms "none," "not applicable," or "NA," as appropriate, where no information or amounts have been reported for certain pages. Omit pages where the respondents are "none," "not applicable," or "NA".

Line No.	Title of Schedule (a)	Reference Page No. (b)	Remarks (c)
1	Important Changes During the Quarter	108-109	
2	Comparative Balance Sheet	110-113	
3	Statement of Income for the Quarter	114-117	
4	Statement of Retained Earnings for the Quarter	118-119	
5	Statement of Cash Flows	120-121	
6	Notes to Financial Statements	122-123	
7	Statement of Accum Comp Income, Comp Income, and Hedging Activities	122 (a)(b)	
8	Summary of Utility Plant & Accumulated Provisions for Dep, Amort & Dep	200-201	
9	Electric Plant In Service and Accum Provision For Depr by Function	208	
10	Transmission Service and Generation Interconnection Study Costs	231	
11	Other Regulatory Assets	232	
12	Other Regulatory Liabilities	278	
13	Elec Operating Revenues (Individual Schedule Lines 300-301)	300-301	
14	Regional Transmission Service Revenues (Account 457.1)	302	Not Applicable
15	Electric Prod, Other Power Supply Exp, Trans and Distrib Exp	324a-324b	
16	Electric Customer Accts, Service, Sales, Admin and General Expenses	325	
17	Transmission of Electricity for Others	328-330	
18	Transmission of Electricity by ISO/RTOs	331	Not Applicable
19	Transmission of Electricity by Others	332	
20	Deprec, Depl and Amort of Elec Plant (403,403.1,404,and 405) (except A	338	
21	Amounts Included in ISO/RTO Settlement Statements	397	
22	Monthly Peak Loads and Energy Output	399	
23	Monthly Transmission System Peak Load	400	
24	Monthly ISO/RTO Transmission System Peak Load	400a	

Name of Respondent NorthWestern Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report 09/30/2020	Year/Period of Report End of <u>2020/Q3</u>
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IMPORTANT CHANGES DURING THE QUARTER/YEAR

Give particulars (details) concerning the matters indicated below. Make the statements explicit and precise, and number them in accordance with the inquiries. Each inquiry should be answered. Enter "none," "not applicable," or "NA" where applicable. If information which answers an inquiry is given elsewhere in the report, make a reference to the schedule in which it appears.

1. Changes in and important additions to franchise rights: Describe the actual consideration given therefore and state from whom the franchise rights were acquired. If acquired without the payment of consideration, state that fact.
2. Acquisition of ownership in other companies by reorganization, merger, or consolidation with other companies: Give names of companies involved, particulars concerning the transactions, name of the Commission authorizing the transaction, and reference to Commission authorization.
3. Purchase or sale of an operating unit or system: Give a brief description of the property, and of the transactions relating thereto, and reference to Commission authorization, if any was required. Give date journal entries called for by the Uniform System of Accounts were submitted to the Commission.
4. Important leaseholds (other than leaseholds for natural gas lands) that have been acquired or given, assigned or surrendered: Give effective dates, lengths of terms, names of parties, rents, and other condition. State name of Commission authorizing lease and give reference to such authorization.
5. Important extension or reduction of transmission or distribution system: State territory added or relinquished and date operations began or ceased and give reference to Commission authorization, if any was required. State also the approximate number of customers added or lost and approximate annual revenues of each class of service. Each natural gas company must also state major new continuing sources of gas made available to it from purchases, development, purchase contract or otherwise, giving location and approximate total gas volumes available, period of contracts, and other parties to any such arrangements, etc.
6. Obligations incurred as a result of issuance of securities or assumption of liabilities or guarantees including issuance of short-term debt and commercial paper having a maturity of one year or less. Give reference to FERC or State Commission authorization, as appropriate, and the amount of obligation or guarantee.
7. Changes in articles of incorporation or amendments to charter: Explain the nature and purpose of such changes or amendments.
8. State the estimated annual effect and nature of any important wage scale changes during the year.
9. State briefly the status of any materially important legal proceedings pending at the end of the year, and the results of any such proceedings culminated during the year.
10. Describe briefly any materially important transactions of the respondent not disclosed elsewhere in this report in which an officer, director, security holder reported on Page 104 or 105 of the Annual Report Form No. 1, voting trustee, associated company or known associate of any of these persons was a party or in which any such person had a material interest.
11. (Reserved.)
12. If the important changes during the year relating to the respondent company appearing in the annual report to stockholders are applicable in every respect and furnish the data required by Instructions 1 to 11 above, such notes may be included on this page.
13. Describe fully any changes in officers, directors, major security holders and voting powers of the respondent that may have occurred during the reporting period.
14. In the event that the respondent participates in a cash management program(s) and its proprietary capital ratio is less than 30 percent please describe the significant events or transactions causing the proprietary capital ratio to be less than 30 percent, and the extent to which the respondent has amounts loaned or money advanced to its parent, subsidiary, or affiliated companies through a cash management program(s). Additionally, please describe plans, if any to regain at least a 30 percent proprietary ratio.

PAGE 108 INTENTIONALLY LEFT BLANK
SEE PAGE 109 FOR REQUIRED INFORMATION.

Name of Respondent	This Report is:	Date of Report	Year/Period of Report
NorthWestern Corporation	(1) <input checked="" type="checkbox"/> An Original	(Mo, Da, Yr)	
	(2) <input type="checkbox"/> A Resubmission	09/30/2020	2020/Q3
IMPORTANT CHANGES DURING THE QUARTER/YEAR (Continued)			

1. None
2. None
3. None
4. None
5. None
6. See Note 6, "Financing Activities", FERC Order ES19-36-000 and MPSC Order Number 7691 Docket Number D2019.08.046.
7. None
8. None
9. See Note 8, "Commitments and Contingencies".
10. None
- 11.(Reserved)
12. None
13. None
14. NA

COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
1	UTILITY PLANT			
2	Utility Plant (101-106, 114)	200-201	6,628,239,385	6,517,977,200
3	Construction Work in Progress (107)	200-201	234,209,908	88,677,933
4	TOTAL Utility Plant (Enter Total of lines 2 and 3)		6,862,449,293	6,606,655,133
5	(Less) Accum. Prov. for Depr. Amort. Depl. (108, 110, 111, 115)	200-201	2,515,475,590	2,401,953,335
6	Net Utility Plant (Enter Total of line 4 less 5)		4,346,973,703	4,204,701,798
7	Nuclear Fuel in Process of Ref., Conv., Enrich., and Fab. (120.1)	202-203	0	0
8	Nuclear Fuel Materials and Assemblies-Stock Account (120.2)		0	0
9	Nuclear Fuel Assemblies in Reactor (120.3)		0	0
10	Spent Nuclear Fuel (120.4)		0	0
11	Nuclear Fuel Under Capital Leases (120.6)		0	0
12	(Less) Accum. Prov. for Amort. of Nucl. Fuel Assemblies (120.5)	202-203	0	0
13	Net Nuclear Fuel (Enter Total of lines 7-11 less 12)		0	0
14	Net Utility Plant (Enter Total of lines 6 and 13)		4,346,973,703	4,204,701,798
15	Utility Plant Adjustments (116)		357,585,527	357,585,527
16	Gas Stored Underground - Noncurrent (117)		36,186,002	35,192,358
17	OTHER PROPERTY AND INVESTMENTS			
18	Nonutility Property (121)		686,805	686,805
19	(Less) Accum. Prov. for Depr. and Amort. (122)		29,180	29,180
20	Investments in Associated Companies (123)		0	0
21	Investment in Subsidiary Companies (123.1)	224-225	22,554,724	22,865,051
22	(For Cost of Account 123.1, See Footnote Page 224, line 42)			
23	Noncurrent Portion of Allowances	228-229	0	0
24	Other Investments (124)		40,599,378	47,501,222
25	Sinking Funds (125)		0	0
26	Depreciation Fund (126)		0	0
27	Amortization Fund - Federal (127)		0	0
28	Other Special Funds (128)		250,000	250,000
29	Special Funds (Non Major Only) (129)		0	0
30	Long-Term Portion of Derivative Assets (175)		0	0
31	Long-Term Portion of Derivative Assets – Hedges (176)		0	0
32	TOTAL Other Property and Investments (Lines 18-21 and 23-31)		64,061,727	71,273,898
33	CURRENT AND ACCRUED ASSETS			
34	Cash and Working Funds (Non-major Only) (130)		0	0
35	Cash (131)		2,660,460	4,652,822
36	Special Deposits (132-134)		8,842,060	5,202,171
37	Working Fund (135)		22,950	23,150
38	Temporary Cash Investments (136)		0	0
39	Notes Receivable (141)		0	0
40	Customer Accounts Receivable (142)		74,042,958	76,136,135
41	Other Accounts Receivable (143)		8,312,124	11,411,798
42	(Less) Accum. Prov. for Uncollectible Acct.-Credit (144)		9,170,518	2,346,427
43	Notes Receivable from Associated Companies (145)		0	0
44	Accounts Receivable from Assoc. Companies (146)		1,902,641	1,307,288
45	Fuel Stock (151)	227	6,786,764	6,354,506
46	Fuel Stock Expenses Undistributed (152)	227	0	0
47	Residuals (Elec) and Extracted Products (153)	227	0	0
48	Plant Materials and Operating Supplies (154)	227	45,548,870	42,194,053
49	Merchandise (155)	227	0	0
50	Other Materials and Supplies (156)	227	0	0
51	Nuclear Materials Held for Sale (157)	202-203/227	0	0
52	Allowances (158.1 and 158.2)	228-229	0	0

COMPARATIVE BALANCE SHEET (ASSETS AND OTHER DEBITS)(Continued)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
53	(Less) Noncurrent Portion of Allowances		0	0
54	Stores Expense Undistributed (163)	227	0	0
55	Gas Stored Underground - Current (164.1)		15,984,057	4,607,138
56	Liquefied Natural Gas Stored and Held for Processing (164.2-164.3)		0	0
57	Prepayments (165)		16,599,279	13,354,236
58	Advances for Gas (166-167)		0	0
59	Interest and Dividends Receivable (171)		0	0
60	Rents Receivable (172)		298,227	100,788
61	Accrued Utility Revenues (173)		53,996,039	83,344,000
62	Miscellaneous Current and Accrued Assets (174)		193,713	203,131
63	Derivative Instrument Assets (175)		0	0
64	(Less) Long-Term Portion of Derivative Instrument Assets (175)		0	0
65	Derivative Instrument Assets - Hedges (176)		0	0
66	(Less) Long-Term Portion of Derivative Instrument Assets - Hedges (176)		0	0
67	Total Current and Accrued Assets (Lines 34 through 66)		226,019,624	246,544,789
68	DEFERRED DEBITS			
69	Unamortized Debt Expenses (181)		13,735,638	12,355,991
70	Extraordinary Property Losses (182.1)	230a	0	0
71	Unrecovered Plant and Regulatory Study Costs (182.2)	230b	0	0
72	Other Regulatory Assets (182.3)	232	687,943,233	651,438,813
73	Prelim. Survey and Investigation Charges (Electric) (183)		1,685,786	0
74	Preliminary Natural Gas Survey and Investigation Charges 183.1)		0	0
75	Other Preliminary Survey and Investigation Charges (183.2)		0	0
76	Clearing Accounts (184)		2,894	2,634
77	Temporary Facilities (185)		0	0
78	Miscellaneous Deferred Debits (186)	233	6,054,890	5,072,908
79	Def. Losses from Disposition of Utility Plt. (187)		0	0
80	Research, Devel. and Demonstration Expend. (188)	352-353	0	0
81	Unamortized Loss on Reaquired Debt (189)		29,130,326	31,089,217
82	Accumulated Deferred Income Taxes (190)	234	146,598,293	152,640,225
83	Unrecovered Purchased Gas Costs (191)		13,422,449	34,065,519
84	Total Deferred Debits (lines 69 through 83)		898,573,509	886,665,307
85	TOTAL ASSETS (lines 14-16, 32, 67, and 84)		5,929,400,092	5,801,963,677

COMPARATIVE BALANCE SHEET (LIABILITIES AND OTHER CREDITS)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
1	PROPRIETARY CAPITAL			
2	Common Stock Issued (201)	250-251	541,448	539,992
3	Preferred Stock Issued (204)	250-251	0	0
4	Capital Stock Subscribed (202, 205)		0	0
5	Stock Liability for Conversion (203, 206)		0	0
6	Premium on Capital Stock (207)		0	0
7	Other Paid-In Capital (208-211)	253	1,514,818,265	1,508,968,799
8	Installments Received on Capital Stock (212)	252	0	0
9	(Less) Discount on Capital Stock (213)	254	0	0
10	(Less) Capital Stock Expense (214)	254b	0	0
11	Retained Earnings (215, 215.1, 216)	118-119	644,438,084	632,569,216
12	Unappropriated Undistributed Subsidiary Earnings (216.1)	118-119	69,880	534,414
13	(Less) Reaquired Capital Stock (217)	250-251	98,242,619	96,014,713
14	Noncorporate Proprietorship (Non-major only) (218)		0	0
15	Accumulated Other Comprehensive Income (219)	122(a)(b)	-7,076,114	-7,505,099
16	Total Proprietary Capital (lines 2 through 15)		2,054,548,944	2,039,092,609
17	LONG-TERM DEBT			
18	Bonds (221)	256-257	2,079,660,000	1,929,660,000
19	(Less) Reaquired Bonds (222)	256-257	0	0
20	Advances from Associated Companies (223)	256-257	0	0
21	Other Long-Term Debt (224)	256-257	122,976,900	315,976,900
22	Unamortized Premium on Long-Term Debt (225)		0	0
23	(Less) Unamortized Discount on Long-Term Debt-Debit (226)		0	0
24	Total Long-Term Debt (lines 18 through 23)		2,202,636,900	2,245,636,900
25	OTHER NONCURRENT LIABILITIES			
26	Obligations Under Capital Leases - Noncurrent (227)		17,408,724	19,742,260
27	Accumulated Provision for Property Insurance (228.1)		0	0
28	Accumulated Provision for Injuries and Damages (228.2)		8,129,882	7,650,043
29	Accumulated Provision for Pensions and Benefits (228.3)		7,560,235	10,393,155
30	Accumulated Miscellaneous Operating Provisions (228.4)		111,084,133	121,180,549
31	Accumulated Provision for Rate Refunds (229)		22,095,487	17,019,084
32	Long-Term Portion of Derivative Instrument Liabilities		0	0
33	Long-Term Portion of Derivative Instrument Liabilities - Hedges		0	0
34	Asset Retirement Obligations (230)		43,957,273	42,449,269
35	Total Other Noncurrent Liabilities (lines 26 through 34)		210,235,734	218,434,360
36	CURRENT AND ACCRUED LIABILITIES			
37	Notes Payable (231)		100,000,000	0
38	Accounts Payable (232)		80,781,939	105,554,866
39	Notes Payable to Associated Companies (233)		0	0
40	Accounts Payable to Associated Companies (234)		3,588,144	3,326,612
41	Customer Deposits (235)		5,858,386	4,372,087
42	Taxes Accrued (236)	262-263	131,328,275	84,356,594
43	Interest Accrued (237)		26,176,882	17,537,539
44	Dividends Declared (238)		0	0
45	Matured Long-Term Debt (239)		0	0

COMPARATIVE BALANCE SHEET (LIABILITIES AND OTHER CREDITS) (Continued)

Line No.	Title of Account (a)	Ref. Page No. (b)	Current Year End of Quarter/Year Balance (c)	Prior Year End Balance 12/31 (d)
46	Matured Interest (240)		0	0
47	Tax Collections Payable (241)		1,065,774	1,696,553
48	Miscellaneous Current and Accrued Liabilities (242)		67,653,946	52,128,824
49	Obligations Under Capital Leases-Current (243)		4,144,832	3,855,092
50	Derivative Instrument Liabilities (244)		0	0
51	(Less) Long-Term Portion of Derivative Instrument Liabilities		0	0
52	Derivative Instrument Liabilities - Hedges (245)		0	0
53	(Less) Long-Term Portion of Derivative Instrument Liabilities-Hedges		0	0
54	Total Current and Accrued Liabilities (lines 37 through 53)		420,598,178	272,828,167
55	DEFERRED CREDITS			
56	Customer Advances for Construction (252)		64,535,362	56,869,680
57	Accumulated Deferred Investment Tax Credits (255)	266-267	279,423	281,903
58	Deferred Gains from Disposition of Utility Plant (256)		0	0
59	Other Deferred Credits (253)	269	168,708,252	170,566,702
60	Other Regulatory Liabilities (254)	278	188,230,709	197,585,036
61	Unamortized Gain on Reaquired Debt (257)		0	0
62	Accum. Deferred Income Taxes-Accel. Amort.(281)	272-277	0	0
63	Accum. Deferred Income Taxes-Other Property (282)		411,335,817	398,415,519
64	Accum. Deferred Income Taxes-Other (283)		208,290,773	202,252,801
65	Total Deferred Credits (lines 56 through 64)		1,041,380,336	1,025,971,641
66	TOTAL LIABILITIES AND STOCKHOLDER EQUITY (lines 16, 24, 35, 54 and 65)		5,929,400,092	5,801,963,677

STATEMENT OF INCOME

Quarterly

1. Report in column (c) the current year to date balance. Column (c) equals the total of adding the data in column (g) plus the data in column (i) plus the data in column (k). Report in column (d) similar data for the previous year. This information is reported in the annual filing only.
2. Enter in column (e) the balance for the reporting quarter and in column (f) the balance for the same three month period for the prior year.
3. Report in column (g) the quarter to date amounts for electric utility function; in column (i) the quarter to date amounts for gas utility, and in column (k) the quarter to date amounts for other utility function for the current year quarter.
4. Report in column (h) the quarter to date amounts for electric utility function; in column (j) the quarter to date amounts for gas utility, and in column (l) the quarter to date amounts for other utility function for the prior year quarter.
5. If additional columns are needed, place them in a footnote.

Annual or Quarterly if applicable

5. Do not report fourth quarter data in columns (e) and (f)
6. Report amounts for accounts 412 and 413, Revenues and Expenses from Utility Plant Leased to Others, in another utility column in a similar manner to a utility department. Spread the amount(s) over lines 2 thru 26 as appropriate. Include these amounts in columns (c) and (d) totals.
7. Report amounts in account 414, Other Utility Operating Income, in the same manner as accounts 412 and 413 above.

Line No.	Title of Account (a)	(Ref.) Page No. (b)	Total Current Year to Date Balance for Quarter/Year (c)	Total Prior Year to Date Balance for Quarter/Year (d)	Current 3 Months Ended Quarterly Only No 4th Quarter (e)	Prior 3 Months Ended Quarterly Only No 4th Quarter (f)
1	UTILITY OPERATING INCOME					
2	Operating Revenues (400)	300-301	905,537,852	931,180,227	282,729,598	271,291,197
3	Operating Expenses					
4	Operation Expenses (401)	320-323	438,427,866	423,548,757	136,894,449	125,370,499
5	Maintenance Expenses (402)	320-323	36,133,573	48,603,662	12,292,456	16,632,511
6	Depreciation Expense (403)	336-337	113,401,937	107,708,356	37,327,008	35,859,571
7	Depreciation Expense for Asset Retirement Costs (403.1)	336-337				
8	Amort. & Depl. of Utility Plant (404-405)	336-337	9,276,436	10,204,362	3,075,457	3,426,138
9	Amort. of Utility Plant Acq. Adj. (406)	336-337	11,326,329	11,537,955	3,775,443	3,775,443
10	Amort. Property Losses, Unrecov Plant and Regulatory Study Costs (407)					
11	Amort. of Conversion Expenses (407)					
12	Regulatory Debits (407.3)		2,930,062	9,013,697	838,656	2,505,822
13	(Less) Regulatory Credits (407.4)		15,716,354	10,993,421	7,382,631	7,697,652
14	Taxes Other Than Income Taxes (408.1)	262-263	143,314,290	139,841,225	47,250,942	46,147,040
15	Income Taxes - Federal (409.1)	262-263	-8,532,150	-7,639,726	-2,832,246	-1,986,911
16	- Other (409.1)	262-263				
17	Provision for Deferred Income Taxes (410.1)	234, 272-277	84,055,709	95,282,555	35,216,539	24,288,682
18	(Less) Provision for Deferred Income Taxes-Cr. (411.1)	234, 272-277	81,106,518	83,579,701	36,118,907	21,608,770
19	Investment Tax Credit Adj. - Net (411.4)	266	-2,480	-8,256	-896	-2,847
20	(Less) Gains from Disp. of Utility Plant (411.6)					
21	Losses from Disp. of Utility Plant (411.7)					
22	(Less) Gains from Disposition of Allowances (411.8)		2,681		2	
23	Losses from Disposition of Allowances (411.9)			-6		
24	Accretion Expense (411.10)					
25	TOTAL Utility Operating Expenses (Enter Total of lines 4 thru 24)		733,506,019	743,519,459	230,336,268	226,709,526
26	Net Util Oper Inc (Enter Tot line 2 less 25) Carry to Pg117,line 27		172,031,833	187,660,768	52,393,330	44,581,671

STATEMENT OF INCOME FOR THE YEAR (Continued)

9. Use page 122 for important notes regarding the statement of income for any account thereof.
10. Give concise explanations concerning unsettled rate proceedings where a contingency exists such that refunds of a material amount may need to be made to the utility's customers or which may result in material refund to the utility with respect to power or gas purchases. State for each year effected the gross revenues or costs to which the contingency relates and the tax effects together with an explanation of the major factors which affect the rights of the utility to retain such revenues or recover amounts paid with respect to power or gas purchases.
- 11 Give concise explanations concerning significant amounts of any refunds made or received during the year resulting from settlement of any rate proceeding affecting revenues received or costs incurred for power or gas purches, and a summary of the adjustments made to balance sheet, income, and expense accounts.
12. If any notes appearing in the report to stokholders are applicable to the Statement of Income, such notes may be included at page 122.
13. Enter on page 122 a concise explanation of only those changes in accounting methods made during the year which had an effect on net income, including the basis of allocations and apportionments from those used in the preceding year. Also, give the appropriate dollar effect of such changes.
14. Explain in a footnote if the previous year's/quarter's figures are different from that reported in prior reports.
15. If the columns are insufficient for reporting additional utility departments, supply the appropriate account titles report the information in a footnote to this schedule.

ELECTRIC UTILITY		GAS UTILITY		OTHER UTILITY		Line No.
Current Year to Date (in dollars) (g)	Previous Year to Date (in dollars) (h)	Current Year to Date (in dollars) (i)	Previous Year to Date (in dollars) (j)	Current Year to Date (in dollars) (k)	Previous Year to Date (in dollars) (l)	
						1
734,280,569	735,060,895	170,701,322	195,473,354	555,961	645,978	2
						3
340,476,109	312,285,610	97,479,229	110,736,910	472,528	526,237	4
31,460,051	42,599,973	4,629,823	5,949,833	43,699	53,856	5
94,005,234	90,282,066	19,366,175	17,395,820	30,528	30,470	6
						7
4,725,106	5,371,596	4,551,330	4,832,766			8
11,961,208	11,961,208	-634,879	-423,253			9
						10
						11
1,255,048	7,462,118	1,675,014	1,551,579			12
10,867,247	9,665,779	4,849,107	1,327,642			13
111,853,215	109,485,429	31,413,108	30,305,131	47,967	50,665	14
-8,532,150	-7,639,726					15
						16
62,688,480	73,662,736	21,377,251	21,623,605	-10,022	-3,786	17
58,310,149	60,450,563	22,796,369	23,129,138			18
-2,412	-6,902	-68	-1,354			19
						20
						21
2,681						22
	-6					23
						24
580,709,812	575,347,760	152,211,507	167,514,257	584,700	657,442	25
153,570,757	159,713,135	18,489,815	27,959,097	-28,739	-11,464	26

STATEMENT OF INCOME FOR THE YEAR (continued)

Line No.	Title of Account (a)	(Ref.) Page No. (b)	TOTAL		Current 3 Months Ended Quarterly Only No 4th Quarter (e)	Prior 3 Months Ended Quarterly Only No 4th Quarter (f)
			Current Year (c)	Previous Year (d)		
27	Net Utility Operating Income (Carried forward from page 114)		172,031,833	187,660,768	52,393,330	44,581,671
28	Other Income and Deductions					
29	Other Income					
30	Nonutility Operating Income					
31	Revenues From Merchandising, Jobbing and Contract Work (415)		959,761	877,378	284,681	349,028
32	(Less) Costs and Exp. of Merchandising, Job. & Contract Work (416)		106,147	108,736	40,201	35,309
33	Revenues From Nonutility Operations (417)		2,678	4,849	1,314	2,690
34	(Less) Expenses of Nonutility Operations (417.1)		671,431	849,509	181,156	285,930
35	Nonoperating Rental Income (418)					
36	Equity in Earnings of Subsidiary Companies (418.1)	119	-464,534	-925,851	-92,064	-457,576
37	Interest and Dividend Income (419)		7,090	25,147	1,204	3,878
38	Allowance for Other Funds Used During Construction (419.1)		4,501,295	4,118,479	2,183,986	1,800,767
39	Miscellaneous Nonoperating Income (421)		-5,126,150	3,004,900	-1,280,403	591,218
40	Gain on Disposition of Property (421.1)					
41	TOTAL Other Income (Enter Total of lines 31 thru 40)		-897,438	6,146,657	877,361	1,968,766
42	Other Income Deductions					
43	Loss on Disposition of Property (421.2)					
44	Miscellaneous Amortization (425)					
45	Donations (426.1)		976,107	902,635	211,184	231,650
46	Life Insurance (426.2)					
47	Penalties (426.3)		-2,290	351,184		351,184
48	Exp. for Certain Civic, Political & Related Activities (426.4)		103,078	98,987	24,061	12,187
49	Other Deductions (426.5)		-4,256,151	3,456,978	-1,132,317	699,116
50	TOTAL Other Income Deductions (Total of lines 43 thru 49)		-3,179,256	4,809,784	-897,072	1,294,137
51	Taxes Applic. to Other Income and Deductions					
52	Taxes Other Than Income Taxes (408.2)	262-263	33,780	44,724	9,065	16,768
53	Income Taxes-Federal (409.2)	262-263	6,014,586	6,186,642	3,525,005	2,068,351
54	Income Taxes-Other (409.2)	262-263	1,009,559	368,324	346,490	261,575
55	Provision for Deferred Inc. Taxes (410.2)	234, 272-277	33,869,934	15,517,531	13,212,560	1,881,632
56	(Less) Provision for Deferred Income Taxes-Cr. (411.2)	234, 272-277	40,577,693	43,570,753	16,069,489	4,364,216
57	Investment Tax Credit Adj.-Net (411.5)					
58	(Less) Investment Tax Credits (420)					
59	TOTAL Taxes on Other Income and Deductions (Total of lines 52-58)		350,166	-21,453,532	1,023,631	-135,890
60	Net Other Income and Deductions (Total of lines 41, 50, 59)		1,931,652	22,790,405	750,802	810,519
61	Interest Charges					
62	Interest on Long-Term Debt (427)		64,083,644	62,755,371	21,461,170	21,283,339
63	Amort. of Debt Disc. and Expense (428)		1,036,268	914,361	377,071	309,073
64	Amortization of Loss on Reaquired Debt (428.1)		2,107,446	2,107,446	702,482	702,482
65	(Less) Amort. of Premium on Debt-Credit (429)					
66	(Less) Amortization of Gain on Reaquired Debt-Credit (429.1)					
67	Interest on Debt to Assoc. Companies (430)					
68	Other Interest Expense (431)		6,933,064	4,267,344	2,043,580	2,190,577
69	(Less) Allowance for Borrowed Funds Used During Construction-Cr. (432)		1,861,364	1,731,081	906,169	763,151
70	Net Interest Charges (Total of lines 62 thru 69)		72,299,058	68,313,441	23,678,134	23,722,320
71	Income Before Extraordinary Items (Total of lines 27, 60 and 70)		101,664,427	142,137,732	29,465,998	21,669,870
72	Extraordinary Items					
73	Extraordinary Income (434)					
74	(Less) Extraordinary Deductions (435)					
75	Net Extraordinary Items (Total of line 73 less line 74)					
76	Income Taxes-Federal and Other (409.3)	262-263				
77	Extraordinary Items After Taxes (line 75 less line 76)					
78	Net Income (Total of line 71 and 77)		101,664,427	142,137,732	29,465,998	21,669,870

STATEMENT OF RETAINED EARNINGS

1. Do not report Lines 49-53 on the quarterly version.
2. Report all changes in appropriated retained earnings, unappropriated retained earnings, year to date, and unappropriated undistributed subsidiary earnings for the year.
3. Each credit and debit during the year should be identified as to the retained earnings account in which recorded (Accounts 433, 436 - 439 inclusive). Show the contra primary account affected in column (b)
4. State the purpose and amount of each reservation or appropriation of retained earnings.
5. List first account 439, Adjustments to Retained Earnings, reflecting adjustments to the opening balance of retained earnings. Follow by credit, then debit items in that order.
6. Show dividends for each class and series of capital stock.
7. Show separately the State and Federal income tax effect of items shown in account 439, Adjustments to Retained Earnings.
8. Explain in a footnote the basis for determining the amount reserved or appropriated. If such reservation or appropriation is to be recurrent, state the number and annual amounts to be reserved or appropriated as well as the totals eventually to be accumulated.
9. If any notes appearing in the report to stockholders are applicable to this statement, include them on pages 122-123.

Line No.	Item (a)	Contra Primary Account Affected (b)	Current Quarter/Year Year to Date Balance (c)	Previous Quarter/Year Year to Date Balance (d)
	UNAPPROPRIATED RETAINED EARNINGS (Account 216)			
1	Balance-Beginning of Period		632,569,216	544,460,136
2	Changes			
3	Adjustments to Retained Earnings (Account 439)			
4				
5				
6				
7				
8				
9	TOTAL Credits to Retained Earnings (Acct. 439)			
10				
11				
12				
13				
14				
15	TOTAL Debits to Retained Earnings (Acct. 439)			
16	Balance Transferred from Income (Account 433 less Account 418.1)		102,128,961	72,984,645
17	Appropriations of Retained Earnings (Acct. 436)			
18				
19				
20				
21				
22	TOTAL Appropriations of Retained Earnings (Acct. 436)			
23	Dividends Declared-Preferred Stock (Account 437)			
24				
25				
26				
27				
28				
29	TOTAL Dividends Declared-Preferred Stock (Acct. 437)			
30	Dividends Declared-Common Stock (Account 438)			
31				
32	Common Stock Dividend		-90,260,093	(28,780,972)
33				
34				
35				
36	TOTAL Dividends Declared-Common Stock (Acct. 438)		-90,260,093	(28,780,972)
37	Transfers from Acct 216.1, Unapprop. Undistrib. Subsidiary Earnings			
38	Balance - End of Period (Total 1,9,15,16,22,29,36,37)		644,438,084	588,663,809
	APPROPRIATED RETAINED EARNINGS (Account 215)			
39				
40				

STATEMENT OF RETAINED EARNINGS

1. Do not report Lines 49-53 on the quarterly version.
2. Report all changes in appropriated retained earnings, unappropriated retained earnings, year to date, and unappropriated undistributed subsidiary earnings for the year.
3. Each credit and debit during the year should be identified as to the retained earnings account in which recorded (Accounts 433, 436 - 439 inclusive). Show the contra primary account affected in column (b)
4. State the purpose and amount of each reservation or appropriation of retained earnings.
5. List first account 439, Adjustments to Retained Earnings, reflecting adjustments to the opening balance of retained earnings. Follow by credit, then debit items in that order.
6. Show dividends for each class and series of capital stock.
7. Show separately the State and Federal income tax effect of items shown in account 439, Adjustments to Retained Earnings.
8. Explain in a footnote the basis for determining the amount reserved or appropriated. If such reservation or appropriation is to be recurrent, state the number and annual amounts to be reserved or appropriated as well as the totals eventually to be accumulated.
9. If any notes appearing in the report to stockholders are applicable to this statement, include them on pages 122-123.

Line No.	Item (a)	Contra Primary Account Affected (b)	Current Quarter/Year Year to Date Balance (c)	Previous Quarter/Year Year to Date Balance (d)
41				
42				
43				
44				
45	TOTAL Appropriated Retained Earnings (Account 215)			
	APPROP. RETAINED EARNINGS - AMORT. Reserve, Federal (Account 215.1)			
46	TOTAL Approp. Retained Earnings-Amort. Reserve, Federal (Acct. 215.1)			
47	TOTAL Approp. Retained Earnings (Acct. 215, 215.1) (Total 45,46)			
48	TOTAL Retained Earnings (Acct. 215, 215.1, 216) (Total 38, 47) (216.1)		644,438,084	588,663,809
	UNAPPROPRIATED UNDISTRIBUTED SUBSIDIARY EARNINGS (Account			
	Report only on an Annual Basis, no Quarterly			
49	Balance-Beginning of Year (Debit or Credit)			
50	Equity in Earnings for Year (Credit) (Account 418.1)			
51	(Less) Dividends Received (Debit)			
52				
53	Balance-End of Year (Total lines 49 thru 52)			

STATEMENT OF CASH FLOWS

(1) Codes to be used:(a) Net Proceeds or Payments;(b)Bonds, debentures and other long-term debt; (c) Include commercial paper; and (d) Identify separately such items as investments, fixed assets, intangibles, etc.
(2) Information about noncash investing and financing activities must be provided in the Notes to the Financial statements. Also provide a reconciliation between "Cash and Cash Equivalents at End of Period" with related amounts on the Balance Sheet.
(3) Operating Activities - Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show in the Notes to the Financials the amounts of interest paid (net of amount capitalized) and income taxes paid.
(4) Investing Activities: Include at Other (line 31) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed in the Notes to the Financial Statements. Do not include on this statement the dollar amount of leases capitalized per the USofA General Instruction 20; instead provide a reconciliation of the dollar amount of leases capitalized with the plant cost.

Line No.	Description (See Instruction No. 1 for Explanation of Codes) (a)	Current Year to Date Quarter/Year (b)	Previous Year to Date Quarter/Year (c)
1	Net Cash Flow from Operating Activities:		
2	Net Income (Line 78(c) on page 117)	101,664,427	142,137,732
3	Noncash Charges (Credits) to Income:		
4	Depreciation and Depletion	113,401,937	107,708,356
5	Amortization of	20,602,765	21,742,317
6	Other Non-Cash Charges to Income, Net	8,969,708	8,255,166
7			
8	Deferred Income Taxes (Net)	-3,758,568	-16,350,367
9	Investment Tax Credit Adjustment (Net)	-2,480	-8,256
10	Net (Increase) Decrease in Receivables	11,421,589	12,687,387
11	Net (Increase) Decrease in Inventory	-15,163,994	-4,276,446
12	Net (Increase) Decrease in Allowances Inventory		
13	Net Increase (Decrease) in Payables and Accrued Expenses	64,966,415	7,007,162
14	Net (Increase) Decrease in Other Regulatory Assets	-6,272,970	3,630,564
15	Net Increase (Decrease) in Other Regulatory Liabilities	-9,354,327	-2,887,459
16	(Less) Allowance for Other Funds Used During Construction	4,501,295	4,118,479
17	(Less) Undistributed Earnings from Subsidiary Companies	-464,534	-925,851
18	Other (provide details in footnote):	40,692,789	-22,415,158
19			
20			
21			
22	Net Cash Provided by (Used in) Operating Activities (Total 2 thru 21)	323,130,530	254,038,370
23			
24	Cash Flows from Investment Activities:		
25	Construction and Acquisition of Plant (including land):		
26	Gross Additions to Utility Plant (less nuclear fuel)	-275,113,274	-231,427,277
27	Gross Additions to Nuclear Fuel		
28	Gross Additions to Common Utility Plant	-13,281,237	-15,688,643
29	Gross Additions to Nonutility Plant		
30	(Less) Allowance for Other Funds Used During Construction	-4,501,295	-4,118,479
31	Other (provide details in footnote):		
32			
33			
34	Cash Outflows for Plant (Total of lines 26 thru 33)	-283,893,216	-242,997,441
35			
36	Acquisition of Other Noncurrent Assets (d)		
37	Proceeds from Disposal of Noncurrent Assets (d)		
38			
39	Investments in and Advances to Assoc. and Subsidiary Companies		
40	Contributions and Advances from Assoc. and Subsidiary Companies		
41	Disposition of Investments in (and Advances to)		
42	Associated and Subsidiary Companies		
43	Investment Securities	-41,825	
44	Purchase of Investment Securities (a)		
45	Proceeds from Sales of Investment Securities (a)		

STATEMENT OF CASH FLOWS

(1) Codes to be used:(a) Net Proceeds or Payments;(b)Bonds, debentures and other long-term debt; (c) Include commercial paper; and (d) Identify separately such items as investments, fixed assets, intangibles, etc.
(2) Information about noncash investing and financing activities must be provided in the Notes to the Financial statements. Also provide a reconciliation between "Cash and Cash Equivalents at End of Period" with related amounts on the Balance Sheet.
(3) Operating Activities - Other: Include gains and losses pertaining to operating activities only. Gains and losses pertaining to investing and financing activities should be reported in those activities. Show in the Notes to the Financials the amounts of interest paid (net of amount capitalized) and income taxes paid.
(4) Investing Activities: Include at Other (line 31) net cash outflow to acquire other companies. Provide a reconciliation of assets acquired with liabilities assumed in the Notes to the Financial Statements. Do not include on this statement the dollar amount of leases capitalized per the USofA General Instruction 20; instead provide a reconciliation of the dollar amount of leases capitalized with the plant cost.

Line No.	Description (See Instruction No. 1 for Explanation of Codes) (a)	Current Year to Date Quarter/Year (b)	Previous Year to Date Quarter/Year (c)
46	Loans Made or Purchased		
47	Collections on Loans		
48			
49	Net (Increase) Decrease in Receivables		
50	Net (Increase) Decrease in Inventory		
51	Net (Increase) Decrease in Allowances Held for Speculation		
52	Net Increase (Decrease) in Payables and Accrued Expenses		
53	Other (provide details in footnote):		
54			
55			
56	Net Cash Provided by (Used in) Investing Activities		
57	Total of lines 34 thru 55)	-283,935,041	-242,997,441
58			
59	Cash Flows from Financing Activities:		
60	Proceeds from Issuance of:		
61	Long-Term Debt (b)	150,000,000	150,000,000
62	Preferred Stock		
63	Common Stock		
64	Other (provide details in footnote):		
65	Treasury Stock Activity	-1,723,599	1,219,824
66	Net Increase in Short-Term Debt (c)	100,000,000	
67	Other (provide details in footnote):		
68			
69			
70	Cash Provided by Outside Sources (Total 61 thru 69)	248,276,401	151,219,824
71			
72	Payments for Retirement of:		
73	Long-term Debt (b)		
74	Preferred Stock		
75	Common Stock		
76	Other (provide details in footnote):		
77	Debt Financing Costs	-2,564,470	-1,074,320
78	Net Decrease in Short-Term Debt (c)		
79	Line of Credit Repayments	-193,000,000	-76,000,000
80	Dividends on Preferred Stock		
81	Dividends on Common Stock	-90,260,093	-86,343,011
82	Net Cash Provided by (Used in) Financing Activities		
83	(Total of lines 70 thru 81)	-37,548,162	-12,197,507
84			
85	Net Increase (Decrease) in Cash and Cash Equivalents		
86	(Total of lines 22,57 and 83)	1,647,327	-1,156,578
87			
88	Cash and Cash Equivalents at Beginning of Period	10,128,143	13,398,331
89			
90	Cash and Cash Equivalents at End of period	11,775,470	12,241,753

Name of Respondent NorthWestern Corporation	This Report Is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report 09/30/2020	Year/Period of Report End of <u>2020/Q3</u>
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NOTES TO FINANCIAL STATEMENTS

1. Use the space below for important notes regarding the Balance Sheet, Statement of Income for the year, Statement of Retained Earnings for the year, and Statement of Cash Flows, or any account thereof. Classify the notes according to each basic statement, providing a subheading for each statement except where a note is applicable to more than one statement.
2. Furnish particulars (details) as to any significant contingent assets or liabilities existing at end of year, including a brief explanation of any action initiated by the Internal Revenue Service involving possible assessment of additional income taxes of material amount, or of a claim for refund of income taxes of a material amount initiated by the utility. Give also a brief explanation of any dividends in arrears on cumulative preferred stock.
3. For Account 116, Utility Plant Adjustments, explain the origin of such amount, debits and credits during the year, and plan of disposition contemplated, giving references to Commission orders or other authorizations respecting classification of amounts as plant adjustments and requirements as to disposition thereof.
4. Where Accounts 189, Unamortized Loss on Recquired Debt, and 257, Unamortized Gain on Recquired Debt, are not used, give an explanation, providing the rate treatment given these items. See General Instruction 17 of the Uniform System of Accounts.
5. Give a concise explanation of any retained earnings restrictions and state the amount of retained earnings affected by such restrictions.
6. If the notes to financial statements relating to the respondent company appearing in the annual report to the stockholders are applicable and furnish the data required by instructions above and on pages 114-121, such notes may be included herein.
7. For the 3Q disclosures, respondent must provide in the notes sufficient disclosures so as to make the interim information not misleading. Disclosures which would substantially duplicate the disclosures contained in the most recent FERC Annual Report may be omitted.
8. For the 3Q disclosures, the disclosures shall be provided where events subsequent to the end of the most recent year have occurred which have a material effect on the respondent. Respondent must include in the notes significant changes since the most recently completed year in such items as: accounting principles and practices; estimates inherent in the preparation of the financial statements; status of long-term contracts; capitalization including significant new borrowings or modifications of existing financing agreements; and changes resulting from business combinations or dispositions. However were material contingencies exist, the disclosure of such matters shall be provided even though a significant change since year end may not have occurred.
9. Finally, if the notes to the financial statements relating to the respondent appearing in the annual report to the stockholders are applicable and furnish the data required by the above instructions, such notes may be included herein.

PAGE 122 INTENTIONALLY LEFT BLANK
SEE PAGE 123 FOR REQUIRED INFORMATION.

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
NorthWestern Corporation			
NOTES TO FINANCIAL STATEMENTS (Continued)			

NOTES TO FINANCIAL STATEMENTS

**(Reference is made to Notes to Financial Statements included in NorthWestern Corporation's Annual FERC Form 1 Report)
(Unaudited)**

(1) Nature of Operations and Basis of Consolidation

NorthWestern Corporation, doing business as NorthWestern Energy, provides electricity and/or natural gas to approximately 734,800 customers in Montana, South Dakota and Nebraska.

The preparation of financial statements in conformity with accounting requirements of the Federal Energy Regulatory Commission (FERC) as set forth in its applicable Uniform System of Accounts requires management to make estimates and assumptions that may affect the reported amounts of assets, liabilities, revenues and expenses during the reporting period. Actual results could differ from those estimates. The unaudited Financial Statements reflect all adjustments (which unless otherwise noted are normal and recurring in nature) that are, in the opinion of management, necessary to fairly present our financial position, results of operations and cash flows. The actual results for the interim periods are not necessarily indicative of the operating results to be expected for a full year or for other interim periods. Events occurring subsequent to September 30, 2020, have been evaluated as to their potential impact to the Financial Statements through the date of issuance.

The Financial Statements included herein have been prepared by NorthWestern, without audit, pursuant to the rules and regulations of the FERC as set forth in its applicable Uniform System of Accounts. Certain information and footnote disclosures normally included in financial statements prepared in accordance with the accounting requirements of the FERC as set forth in its applicable Uniform System of Accounts and published accounting releases have been condensed or omitted pursuant to such rules and regulations; however, management believes that the condensed disclosures provided are adequate to make the information presented not misleading. Management recommends that these Financial Statements be read in conjunction with the audited financial statements and related footnotes included in our Annual FERC Form 1 Report for the year ended December 31, 2019.

Financial Statement Presentation

The financial statements are presented on the basis of the accounting requirements of the FERC as set forth in its applicable Uniform System of Accounts and published accounting releases, which is a comprehensive basis of accounting other than accounting principles generally accepted in the United States of America (GAAP). This report differs from GAAP due to FERC requiring the presentation of subsidiaries on the equity method of accounting, which differs from Accounting Standards Codification (ASC) 810, Consolidation. ASC 810 requires that all majority-owned subsidiaries be consolidated (see Note 4). The other significant differences consist of the following:

- Earnings per share and footnotes for revenue from contracts with customers, and segment and related information, are not presented;
- Removal and decommissioning costs of generation, transmission and distribution assets are reflected in the Balance Sheets as a component of accumulated depreciation of \$459.5 million and \$442.1 million as of September 30, 2020 and December 31, 2019, respectively, in accordance with regulatory treatment as compared to regulatory liabilities for GAAP purposes;
- Goodwill is reflected in the Balance Sheets as a utility plant adjustments of \$357.6 million as of September 30, 2020 and December 31, 2019, respectively, in accordance with regulatory treatment, as compared to goodwill for GAAP purposes;
- The write-down of plant values associated with the 2002 acquisition of the Montana operations is reflected in the Balance Sheets as a component of accumulated depreciation of \$147.6 million for September 30, 2020 and December 31, 2019,

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
NorthWestern Corporation			
NOTES TO FINANCIAL STATEMENTS (Continued)			

respectively, in accordance with regulatory treatment as compared to plant for GAAP purposes;

- The current portion of gas stored underground is reflected in the Balance Sheets as current and accrued assets, as compared to inventory for GAAP purposes;
- Operating lease right of use assets are classified in the Balance Sheets as capital leases in accordance with regulatory treatment, as compared to non-current assets for GAAP purposes;
- Operating lease liabilities are reflected as current and long term obligations under capital leases in the Balance Sheets, as compared to accrued expenses and long term liabilities for GAAP purposes;
- Unamortized debt expense is classified in the Balance Sheets as deferred debits in accordance with regulatory treatment, as compared to long-term debt for GAAP purposes;
- Current and long-term debt is classified in the Balance Sheets as all long-term debt in accordance with regulatory treatment, while current and long-term debt are presented separately for GAAP reporting;
- The current portion of the provision for injuries and damages and the expected insurance proceeds receivable related to the provision for injuries and damages are reported as a current liability for GAAP purposes, as compared to a non-current liability for FERC purposes;
- Accumulated deferred tax assets and liabilities are classified in the Balance Sheets as gross non-current deferred debits and credits, respectively, while GAAP presentation reflects a net non-current deferred tax liability;
- Deficient and excess accumulated deferred tax assets and liabilities associated with the Tax Cuts and Jobs Act are classified in the Balance Sheets as gross regulatory assets and liabilities, respectively, while GAAP presentation reflects a net non-current regulatory deferred tax asset;
- Stranded tax effects associated with the Tax Cuts and Jobs Act are included in accumulated other comprehensive income (AOCI) in accordance with regulatory treatment, while included in retained earnings for GAAP purposes;
- Uncertain tax positions related to temporary differences are classified in the Balance Sheets within the deferred tax accounts in accordance with regulatory treatment, as compared to other noncurrent liabilities for GAAP purposes. In addition, interest related to uncertain tax positions is recognized in interest expense in accordance with regulatory treatment, as compared to income tax expense for GAAP purposes;
- Net periodic benefit costs and net periodic postretirement benefit costs are reflected in operating expense for FERC purposes, as compared to the GAAP presentation, which reflects the current service costs component of the net periodic benefit costs in operating expenses and the other components outside of income from operations. In addition, only the service cost component of net periodic benefit cost is eligible for capitalization for GAAP purposes, as compared to the total net periodic benefit costs for FERC purposes;
- Regulatory assets and liabilities are reflected in the Balance Sheets as non-current items, while current and non-current amounts are presented separately for GAAP;

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
NorthWestern Corporation			
NOTES TO FINANCIAL STATEMENTS (Continued)			

- Unbilled revenue is reflected in the Balance Sheets as Accrued utility revenue of \$54.0 million and \$83.3 million as of September 30, 2020 and December 31, 2019, respectively, in accordance with regulatory treatment, as compared to Accounts receivable, net for GAAP purposes;
- Implementation costs associated with cloud computing arrangements are reflected on the Balance Sheets as Miscellaneous Intangible Plant in accordance with regulatory treatment, as compared to Other current assets for GAAP purposes. Additionally, these cash outflows are presented within investing activities cash outflows in the Statement of Cash Flows in accordance with regulatory treatment, as compared to operating activities cash outflows for GAAP purposes; and
- GAAP revenue differs from FERC revenue primarily due to the equity method of accounting as discussed above, netting of electric purchases and sales for resale in revenue for the GAAP presentation as compared to a gross presentation for FERC purposes (with the exception of those transactions in a regional transmission organization (RTO)), the netting of RTO transmission transactions for the GAAP presentation as compared to a gross presentation for FERC purposes, and the classification of regulatory amortizations in revenue for GAAP purposes as compared to expense for FERC purposes.

The following table reconciles GAAP revenues to FERC revenues by segment for the nine months ended September 30, 2020 and 2019 (in millions):

	Three Months Ended September, 30 2020			
	Total	Electric	Natural Gas	Other
GAAP Revenues	\$ 280.6	\$ 244.1	\$ 36.5	\$ -
Revenue from equity investments	(0.8)	-	(0.8)	-
Grossing revenues / power purchases	4.2	4.2	-	-
Regulatory amortizations	(1.3)	6.0	(7.3)	-
Other	-	0.2	(0.2)	-
FERC Revenues	<u>\$ 282.7</u>	<u>\$ 254.5</u>	<u>\$ 28.2</u>	<u>\$ -</u>
	Three Months Ended September 30, 2019			
	Total	Electric	Natural Gas	Other
GAAP Revenues	\$ 274.9	\$ 241.3	\$ 33.6	\$ -
Revenue from equity investments	(0.6)	-	(0.6)	-
Grossing revenues / power purchases	7.4	7.4	-	-
Regulatory amortizations	(9.6)	(4.3)	(5.3)	-
Other	(0.8)	(0.5)	(0.3)	-
FERC Revenues	<u>\$ 271.3</u>	<u>\$ 243.9</u>	<u>\$ 27.4</u>	<u>\$ -</u>

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	Nine Months Ended September, 30 2020			
	Total	Electric	Natural Gas	Other
GAAP Revenues	\$ 885.2	\$ 706.7	\$ 178.5	\$ -
Revenue from equity investments	(2.5)	-	(2.5)	-
Grossing revenues / power purchases	15.6	15.6	-	-
Regulatory amortizations	7.1	11.2	(4.1)	-
Other	0.1	0.7	(1.2)	06
FERC Revenues	\$ 905.5	\$ 734.2	\$ 170.7	\$ 0.6

	Nine Months Ended September 30, 2019			
	Total	Electric	Natural Gas	Other
GAAP Revenues	\$ 929.8	\$ 734.0	\$ 195.8	\$ -
Revenue from equity investments	(2.1)	-	(2.1)	-
Grossing revenues / power purchases	30.6	30.6	-	-
Regulatory amortizations	(24.8)	(27.7)	2.9	-
Other	(2.3)	(1.8)	(1.1)	0.6
FERC Revenues	\$ 931.2	\$ 735.1	\$ 195.5	\$ 0.6

Supplemental Cash Flow Information

The following table provides a reconciliation of cash, working funds, other special funds, and special deposits reported within the Balance Sheets that sum to the total of the same such amounts shown in the Statements of Cash Flows (in thousands):

	September 30, 2020	December 31, 2019	September 30, 2019	December 31, 2018
Cash	\$ 2,660	\$ 4,653	\$ 4,648	\$ 7,420
Working funds	23	23	23	23
Other special funds	250	250	250	250
Special deposits	8,842	5,202	7,321	5,705
Total shown in the Statements of Cash Flows	\$ 11,775	\$ 10,128	\$ 12,242	\$ 13,398

Utility Plant Adjustment

We completed our annual utility plant adjustment impairment test as of April 1, 2020 and no impairment was identified. We calculate the fair value of our reporting units by considering various factors, including valuation studies based primarily on a discounted cash flow analysis, with published industry valuations and market data as supporting information. Key assumptions in the determination of fair value include the use of an appropriate discount rate and estimated future cash flows. In estimating cash flows, we incorporate expected long-term growth rates in our service territory, regulatory stability, and commodity prices (where appropriate), as well as other factors that affect our revenue, expense and capital expenditure projections.

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(2) Regulatory Matters

COVID-19 Accounting Order Filings

In the second and third quarters of 2020, we experienced lower revenues and an increase in certain operating expenses as a result of the COVID-19 pandemic. In March we voluntarily informed both our retail customers and state regulators that disconnections for non-payment would be temporarily suspended. During August we advised customers that we would resume the disconnection process for customers whose accounts are in arrears.

South Dakota - On May 1, 2020, we submitted a joint filing with four other investor owned utilities to the South Dakota Public Utilities Commission (SDPUC) seeking approval for an accounting order to defer certain costs related to the COVID-19 pandemic as a regulatory asset, subject to future review for recovery from customers. We limited our specific request to uncollectible accounts expense in excess of amounts included in the latest electric and natural gas test periods. In August, the SDPUC issued an order granting deferral of our excess uncollectible accounts expense. As of September 30, 2020 we have deferred \$0.4 million of uncollectible accounts expense into a regulatory asset in the Balance Sheet.

Montana - On May 29, 2020, we filed a petition for an accounting order with the Montana Public Service Commission (MPSC) seeking approval of an accounting order to (i) defer uncollectible accounts expense in excess of amounts included in the latest electric and natural gas test periods; and (ii) requesting approval of a proposed pension contribution up to \$40 million in 2020 to be recognized over a five-year period. The MPSC held a work session in October 2020 voting to allow tracking of uncollectible accounts expense and amortization of incremental pension contributions. We expect a final order on our request during the fourth quarter of 2020, and cannot determine the impact, if any, of the MPSC's decision until a final order is issued.

Pension costs in Montana are included in expense on a pay as you go (cash funding) basis. We contributed \$10.2 million to the Montana pension plan during the nine months ended September 30, 2020. We have not yet determined whether we will contribute incremental amounts during the fourth quarter of 2020.

FERC Filing - Montana Transmission Service Rates

In May 2019, we submitted a filing with the Federal Energy Regulatory Commission (FERC) for our Montana transmission assets. The revenue requirement associated with our Montana FERC assets is reflected in our Montana MPSC-jurisdictional rates as a credit to retail customers. We will submit a compliance filing with the MPSC upon resolution of our Montana FERC case adjusting the proposed credit in our Montana retail rates. In June 2019, the FERC issued an order accepting our filing, granting interim rates (subject to refund) effective July 1, 2019, establishing settlement procedures and terminating our related Tax Cuts and Jobs Act filing. A settlement judge was appointed and settlement negotiations are ongoing.

Cost Recovery Mechanisms - Montana

Montana Electric and Natural Gas Supply Cost Trackers - Each year we submit electric and natural gas tracker filings for recovery of supply costs for the 12-month period ended June 30. The MPSC reviews such filings and makes its cost recovery determination based on whether or not our supply procurement activities were prudent.

The MPSC approved a new design for our electric tracker effective July 1, 2017. The revised electric tracker, or Power Costs and Credits Adjustment Mechanism (PCCAM), established a baseline of power supply costs and tracks the differences between the actual costs and associated base rate revenues. Rates are adjusted annually for variances between actual costs and associated revenues with the variances allocated 90% to customers and 10% to shareholders. The initial design of the PCCAM also included a "deadband" which required us to absorb the variances within +/- \$4.1 million from the base revenues. In 2019, the Montana legislature made two statutory changes which affected the PCCAM by prohibiting a deadband and allowing 100% recovery of QF purchases. The 90%/10% sharing ratio for other costs remains in place.

In September 2019, we submitted our annual PCCAM filing for the period July 1, 2018 to June 30, 2019, requesting recovery of approximately \$23.8 million in electric supply costs. The Montana Consumer Counsel (MCC) and the Montana Environmental

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Information Center (MEIC) submitted testimony advocating for a disallowance of approximately \$6.0 million of replacement power costs incurred during a 2018 third quarter intermittent outage at our Colstrip generating facility necessary to ensure compliance with air permit limits. In addition, the MCC advocated for an application of the deadband and QF cost sharing from July 2018 to the May 2019 statutory change, which would result in an additional under recovery of costs of approximately \$4.0 million. On October 27, 2020 the MPSC voted to disallow \$5.7 million of replacement power costs incurred during the intermittent outage and prorate the application of the change in statute disallowing an additional \$3.8 million of costs. We expect a final order to be issued in the fourth quarter of 2020.

Montana QF Power Purchase Cases

Under the Public Utility Regulatory Policies Act (PURPA), electric utilities are required, with certain exceptions, to purchase energy and capacity from independent power producers that are QFs. We track the costs of these purchases through our PCCAM. These purchases are also the subject of proceedings before the MPSC, whose orders are subject to judicial review by Montana state courts.

In May 2016, we filed our biennial update of standard rates for small QFs (3 MW or less). In November 2017, the MPSC approved new, lower rates, reduced the maximum contract term from 25 to 15 years, and ordered that it would apply the same 15-year contract term to our future owned and contracted electric supply resources (Symmetry Finding). We sought judicial review with the Montana State District Court (District Court) of the Symmetry Finding. Cypress Creek Renewables, LLC, Vote Solar, and MEIC, sought judicial review with the District Court of the rates and contract term.

The District Court reversed and modified the MPSC's decisions on rates, contract term, and the Symmetry Finding. We appealed the District Court's order regarding rates and contract term to the Montana Supreme Court, which also granted our request to stay the District Court's decision. The MPSC did not appeal the District Court's Symmetry Finding. On August 24, 2020, the Montana Supreme Court found that the MPSC's order on rates and contract length was arbitrary, left the stay in place, and remanded to the MPSC for consideration when setting rates and contract lengths for small QF's in future regulatory proceedings.

The MPSC adopted the Symmetry Finding in another order when setting the rates and contract term for a large QF - MT Sun, LLC (MTSun). We, as well as MTSun, sought judicial review of the MPSC's order. The District Court reversed and modified the MPSC's order regarding rates, contract length, and the Symmetry Finding. We appealed the District Court's order to the Montana Supreme Court on the issues of rates and contract length, and the MPSC did not appeal the District Court's reversal of the Symmetry Finding. On September 22, 2020, the Montana Supreme Court found the MPSC's order on rates and contract length was arbitrary and that MTSun is entitled to the rates and contract term determined by the District Court. We are authorized through our PCCAM tariff to recover 100% of our QF purchased power costs.

Montana Community Renewable Energy Projects (CREPs)

We were required to acquire, as of December 31, 2019, approximately 66 MW of CREPs. While we have made progress towards meeting this obligation by acquiring approximately 36 MW of CREPs, we have been unable to acquire the remaining MWs required for various reasons, including the fact that proposed projects fail to qualify as CREPs or do not meet the statutory cost cap. The MPSC granted us waivers for 2012 through 2016. The validity of the MPSC's action as it related to waivers granted for 2015 and 2016 has been challenged legally and we are waiting on a final decision from the Montana Supreme Court. We expect to file waiver requests for 2017, 2018, and 2019 after resolution of that litigation. If the Montana Supreme Court rules that the 2015 and 2016 waivers were invalid or if the requested waivers for 2017 through 2019 are not granted, we are likely to be liable for penalties. If the MPSC imposes a penalty, the amount of the penalty would depend on how the MPSC calculated the energy that a CREP would have produced. However, we do not believe any such penalty would be material.

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(3) Income Taxes

We compute income tax expense for each quarter based on the estimated annual effective tax rate for the year, adjusted for certain discrete items. Our effective tax rate typically differs from the federal statutory tax rate due to the regulatory impact of flowing through the federal and state tax benefit of repairs deductions, state tax benefit of accelerated tax depreciation deductions (including bonus depreciation when applicable) and production tax credits. The regulatory accounting treatment of these deductions requires immediate income recognition for temporary tax differences of this type, which is referred to as the flow-through method. When the flow-through method of accounting for temporary differences is reflected in regulated revenues, we record deferred income taxes and establish related regulatory assets and liabilities.

The following table summarizes the differences between our effective tax rate and the federal statutory rate (in thousands):

	Three Months Ended September 30,			
	2020		2019	
Income Before Income Taxes	\$ 26,745		\$ 21,670	
Income tax calculated at federal statutory rate	5,616	21.0 %	4,551	21.0 %
Permanent or flow-through adjustments:				
State income tax, net of federal provisions	46	0.2	86	0.4
Flow-through repairs deductions	(4,213)	(15.8)	(2,606)	(12.0)
Production tax credits	(2,205)	(8.3)	(1,414)	(6.5)
Amortization of excess deferred income tax	(222)	(0.8)	(374)	(1.7)
Plant and depreciation of flow-through items	103	0.4	(263)	(1.2)
Prior year permanent return to accrual adjustments	(1,719)	(6.4)	559	2.5
Other, net	(127)	(0.5)	(1)	(0.0)
	<u>(8,337)</u>	<u>(31.2)</u>	<u>(4,013)</u>	<u>(18.5)</u>
Income tax (benefit) expense	\$ (2,721)	(10.2)%	\$ 538	2.5 %

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Nine Months Ended September 30,

	2020		2019	
Income Before Income Taxes	\$ 96,395		\$ 142,138	
Income tax calculated at federal statutory rate	20,252	21.0 %	29,849	21.0 %
Permanent or flow through adjustments:				
State income, net of federal provisions	73	0.1	1,230	0.9
Flow-through repairs deductions	(14,859)	(15.4)	(12,694)	(8.9)
Production tax credits	(7,553)	(7.9)	(7,252)	(5.1)
Share-based compensation	(609)	(0.6)	186	0.1
Amortization of excess deferred income tax	(731)	(0.8)	(1,939)	(1.4)
Prior year permanent return to accrual adjustments	(1,719)	(1.8)	559	0.4
Plant and depreciation of flow through items	299	0.3	(2,449)	(1.7)
Recognition of unrecognized tax benefit	—	—	(22,825)	(16.1)
Other, net	(413)	(0.4)	(2,108)	(1.5)
	<u>(25,512)</u>	<u>(26.5)</u>	<u>(47,292)</u>	<u>(33.3)</u>
Income tax benefit	\$ (5,269)	(5.5)%	\$ (17,443)	(12.3)%

The income tax benefit for 2019 reflects the recognition of approximately \$22.8 million of unrecognized tax benefits, including approximately \$2.7 million of accrued interest, net of tax, due to the lapse of statutes of limitation in the second quarter of 2019.

Coronavirus Aid, Relief, and Economic Security Act (the CARES Act)

In response to the COVID-19 pandemic, on March 27, 2020, President Donald Trump signed into law the CARES Act. We evaluated the provisions of the CARES Act as of September 30, 2020, and determined it had no material effect on our Financial Statements. Certain tax provisions may result in immaterial cash refunds.

Uncertain Tax Positions

We recognize tax positions that meet the more-likely-than-not threshold as the largest amount of tax benefit that is greater than 50 percent likely of being realized upon ultimate settlement with a taxing authority that has full knowledge of all relevant information. We have unrecognized tax benefits of approximately \$33.9 million as of September 30, 2020, including approximately \$28.0 million that, if recognized, would impact our effective tax rate. We do not anticipate that total unrecognized tax benefits will significantly change due to the settlement of audits or the expiration of statutes of limitation within the next twelve months.

Our policy is to recognize interest related to uncertain tax positions in interest expense. As of September 30, 2020, we do not have any amounts accrued for the payment of interest. As discussed above, during the nine months ended September 30, 2019, we released \$2.7 million of accrued interest in the Statements of Income.

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Tax years 2016 and forward remain subject to examination by the Internal Revenue Service (IRS) and state taxing authorities. In addition, the available federal net operating loss carryforward may be reduced by the IRS for losses originating in certain tax years from 2002 forward.

(4) Equity Investments

The following table presents our equity investments reflected in the investments in subsidiary companies on the Balance Sheets (in thousands):

	September 30, 2020	December 31, 2019
Havre Pipeline Company, LLC	\$ 12,127	\$ 12,672
Canadian Montana Pipeline Corporation	4,531	4,324
NorthWestern Energy Solutions, Inc.	2,630	2,595
NorthWestern Services, LLC	2,004	1,972
Risk Partners Assurance, Ltd.	1,263	1,302
Total Investments in Subsidiary Companies	\$ 22,555	\$ 22,865

(5) Comprehensive Income

The following tables display the components of Other Comprehensive Income, after-tax, and the related tax effects (in thousands):

	Three Months Ended					
	September 30, 2020			September 30, 2019		
	Before-Tax Amount	Tax Expense	Net-of-Tax Amount	Before-Tax Amount	Tax Expense	Net-of-Tax Amount
Foreign currency translation adjustment	\$ (2)	\$ —	\$ (2)	\$ 42	\$ —	\$ 42
Reclassification of net income (loss) on derivative instruments	153	(40)	113	154	(40)	113
Other comprehensive income	\$ 151	\$ (40)	\$ 111	\$ 195	\$ (40)	\$ 155

	Nine Months Ended					
	September 30, 2020			September 30, 2019		
	Before-Tax Amount	Tax Expense	Net-of-Tax Amount	Before-Tax Amount	Tax Expense	Net-of-Tax Amount
Foreign currency translation adjustment	\$ 90	\$ —	\$ 90	\$ 18	\$ —	\$ 18
Reclassification of net income (loss) on derivative instruments	459	(120)	339	460	(121)	339
Other comprehensive income	\$ 549	\$ (120)	\$ 429	\$ 478	\$ (121)	\$ 357

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Balances by classification included within accumulated other comprehensive income (AOCI) on the Balance Sheets are as follows, net of tax (in thousands):

	September 30, 2020	December 31, 2019
Foreign currency translation	\$ 1,503	\$ 1,413
Derivative instruments designated as cash flow hedges	(8,692)	(9,031)
Postretirement medical plans	113	113
Accumulated other comprehensive income	<u>\$ (7,076)</u>	<u>\$ (7,505)</u>

The following tables display the changes in AOCI by component, net of tax (in thousands):

		Three Months Ended September 30, 2020			
	Affected Line Item in the Statements of Income	Interest Rate Derivative Instruments Designated as Cash Flow Hedges	Pension and Postretirement Medical Plans	Foreign Currency Translation	Total
Beginning balance		\$ (8,805)	\$ 113	\$ 1,505	\$ (7,187)
Other comprehensive income before reclassifications		—	—	(2)	(2)
Amounts reclassified from AOCI	Interest on long-term debt	113	—	—	113
Net current-period other comprehensive income		113	—	(2)	111
Ending balance		<u>\$ (8,692)</u>	<u>\$ 113</u>	<u>\$ 1,503</u>	<u>\$ (7,076)</u>

		Three Months Ended September 30, 2019			
	Affected Line Item in the Statements of Income	Interest Rate Derivative Instruments Designated as Cash Flow Hedges	Pension and Postretirement Medical Plans	Foreign Currency Translation	Total
Beginning balance		\$ (9,258)	\$ 246	\$ 1,422	\$ (7,590)
Other comprehensive income before reclassifications				42	42
Amounts reclassified from AOCI	Interest on long-term debt	113			113
Net current-period other comprehensive income		113	—	42	155
Ending balance		<u>\$ (9,145)</u>	<u>\$ 246</u>	<u>\$ 1,464</u>	<u>\$ (7,435)</u>

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**Nine Months Ended
September 30, 2020**

	Affected Line Item in the Statements of Income	Interest Rate Derivative Instruments Designated as Cash Flow Hedges	Pension and Postretirement Medical Plans	Foreign Currency Translation	Total
Beginning balance		\$ (9,031)	\$ 113	\$ 1,413	\$ (7,505)
Other comprehensive income before reclassifications		—	—	90	90
Amounts reclassified from AOCI	Interest on long-term debt	339	—	—	339
Net current-period other comprehensive income		339	—	90	429
Ending balance		\$ (8,692)	\$ 113	\$ 1,503	\$ (7,076)

**Nine Months Ended
September 30, 2019**

	Affected Line Item in the Statements of Income	Interest Rate Derivative Instruments Designated as Cash Flow Hedges	Pension and Postretirement Medical Plans	Foreign Currency Translation	Total
Beginning balance		\$ (9,484)	\$ 246	\$ 1,446	\$ (7,792)
Other comprehensive income before reclassifications		—	—	18	18
Amounts reclassified from AOCI	Interest on long-term debt	339	—	—	339
Net current-period other comprehensive income		339	—	18	357
Ending balance		\$ (9,145)	\$ 246	\$ 1,464	\$ (7,435)

(6) Financing Activities

In April 2020, we entered into a \$100 million Term Loan Agreement (Term Loan) and borrowed the full amount. The Term Loan bears interest at variable rates tied to the Eurodollar rate plus a credit spread of 1.50%. Proceeds were used to repay a portion of our outstanding revolving credit facility borrowings and for general corporate purposes. All principal and unpaid interest under the Term Loan is due and payable on April 2, 2021. The Term Loan provides for prepayment of the principal and interest; however, amounts prepaid may not be reborrowed. The Term Loan requires us to maintain a consolidated indebtedness to total capitalization ratio of 65 percent or less. It also contains covenants which, among other things, limit our ability to engage in any consolidation or merger or otherwise liquidate or dissolve, dispose of property, and enter into transactions with affiliates. A default on the South Dakota or Montana First Mortgage Bonds would trigger a cross default on the Term Loan; however a default on the Term Loan would not trigger a default on the South Dakota or Montana First Mortgage Bonds.

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In May 2020, we issued \$100 million principal amount of Montana First Mortgage Bonds and \$50 million principal amount of South Dakota First Mortgage Bonds, each at a fixed interest rate of 3.21% maturing on May 15, 2030. These bonds were issued in a transaction exempt from the registration requirements of the Securities Act of 1933. Proceeds were used to repay a portion of our outstanding borrowings under our revolving credit facilities and for other general corporate purposes. The bonds are secured by our electric and natural gas assets in Montana and South Dakota.

On September 2, 2020, we entered into a new \$425 million Credit Agreement (Credit Facility) to replace our existing facility. The Credit Facility increases the capacity from that of the prior facility by \$25 million to \$425 million and extended the maturity date to September 2, 2023 (from December 12, 2021), with uncommitted features that allow us to request up to two one-year extensions to the maturity date and increase the size by an additional \$75 million with the consent of the lenders. The facility does not amortize and is unsecured. Borrowings may be made at interest rates equal to the Eurodollar rate, plus a margin of 112.5 to 175.0 basis points, or a base rate, plus a margin of 12.5 to 75.0 basis points. A total of ten banks participate in the facility, with no one bank providing more than 13% of the total availability.

The Credit Facility includes covenants that require us to meet certain financial tests, including a maximum debt to capitalization ratio not to exceed 65%. The facility also contains covenants which, among other things, limit our ability to engage in any consolidation or merger or otherwise liquidate or dissolve, dispose of property, and enter into transactions with affiliates. A default on the South Dakota or Montana First Mortgage Bonds would trigger a cross default on the Credit Facility; however a default on the Credit Facility would not trigger a default on the South Dakota or Montana First Mortgage Bonds.

(7) Related Party Transactions

Accounts receivable from and payables to associated companies primarily include intercompany billings for direct charges, overhead, and income tax obligations. The following table reflects our accounts receivable from and accounts payable to associated companies (in thousands):

	June 30, 2020	December 31, 2019
Accounts Receivable from Associated Companies:		
Havre Pipeline Company, LLC	\$ 1,825	\$ 1,238
NorthWestern Energy Solutions, Inc.	60	51
Risk Partners Assurance, Ltd.	18	18
	<u>\$ 1,903</u>	<u>\$ 1,307</u>
Accounts Payable to Associated Companies:		
NorthWestern Services, LLC	\$ 1,761	\$ 1,715
Canadian Montana Pipeline Corporation	1,827	1,612
	<u>\$ 3,588</u>	<u>\$ 3,327</u>

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(8) Employee Benefit Plans

We sponsor and/or contribute to pension and postretirement health care and life insurance benefit plans for eligible employees. Net periodic benefit cost (credit) for our pension and other postretirement plans consists of the following (in thousands):

	Pension Benefits		Other Postretirement Benefits	
	Three Months Ended September 30,		Three Months Ended September 30,	
	2020	2019	2020	2019
Components of Net Periodic Benefit Cost (Credit)				
Service cost	\$ 2,779	\$ 2,409	\$ 93	\$ 82
Interest cost	5,710	6,622	123	152
Expected return on plan assets	(6,541)	(6,360)	(246)	(217)
Amortization of prior service cost (credit)	—	1,636	(471)	(471)
Recognized actuarial loss (gain)	1,257	—	(15)	(24)
Plan settlements	—	715	—	—
Net periodic benefit cost (credit)	\$ 3,205	\$ 5,022	\$ (516)	\$ (478)

	Pension Benefits		Other Postretirement Benefits	
	Nine Months Ended September 30,		Nine Months Ended September 30,	
	2020	2019	2020	2019
Components of Net Periodic Benefit Cost (Credit)				
Service cost	\$ 8,337	\$ 7,228	\$ 278	\$ 248
Interest cost	17,130	19,866	369	457
Expected return on plan assets	(19,622)	(19,082)	(738)	(652)
Amortization of prior service cost (credit)	—	4,908	(1,412)	(1,412)
Recognized actuarial loss (gain)	3,771	—	(45)	(72)
Plan settlements	—	715	—	—
Net periodic benefit cost (credit)	\$ 9,616	\$ 13,635	\$ (1,548)	\$ (1,431)

We contributed \$11.4 million to our pension plans during the nine months ended September 30, 2020. As discussed in Note 2 *Regulatory Matters*, we have not yet determined whether we will contribute incremental amounts to the Montana pension plan during the fourth quarter of 2020.

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(9) Commitments and Contingencies

ENVIRONMENTAL LIABILITIES AND REGULATION

Environmental Matters

The operation of electric generating, transmission and distribution facilities, and gas gathering, storage, transportation and distribution facilities, along with the development (involving site selection, environmental assessments, and permitting) and construction of these assets, are subject to extensive federal, state, and local environmental and land use laws and regulations. Our activities involve compliance with diverse laws and regulations that address emissions and impacts to the environment, including air and water, protection of natural resources, avian and wildlife. We monitor federal, state, and local environmental initiatives to determine potential impacts on our financial results. As new laws or regulations are implemented, our policy is to assess their applicability and implement the necessary modifications to our facilities or their operation to maintain ongoing compliance.

Our environmental exposure includes a number of components, including remediation expenses related to the cleanup of current or former properties, and costs to comply with changing environmental regulations related to our operations. At present, our environmental reserve, which relates primarily to the remediation of former manufactured gas plant sites owned by us, is estimated to range between \$27.8 million to \$30.5 million. As of September 30, 2020, we had a reserve of approximately \$28.8 million, which has not been discounted. Environmental costs are recorded when it is probable we are liable for the remediation and we can reasonably estimate the liability. We use a combination of site investigations and monitoring to formulate an estimate of environmental remediation costs for specific sites. Our monitoring procedures and development of actual remediation plans depend not only on site specific information but also on coordination with the different environmental regulatory agencies in our respective jurisdictions; therefore, while remediation exposure exists, it may be many years before costs are incurred.

Over time, as costs become determinable, we may seek authorization to recover such costs in rates or seek insurance reimbursement as available and applicable; therefore, although we cannot guarantee regulatory recovery, we do not expect these costs to have a material effect on our consolidated financial position or results of operations.

Manufactured Gas Plants - Approximately \$22.6 million of our environmental reserve accrual is related to the following manufactured gas plants.

South Dakota - A formerly operated manufactured gas plant located in Aberdeen, South Dakota, has been identified on the Federal Comprehensive Environmental Response, Compensation, and Liability Information System list as contaminated with coal tar residue. We are currently conducting feasibility studies, implementing remedial actions pursuant to work plans approved by the South Dakota Department of Environment and Natural Resources, and conducting ongoing monitoring and operation and maintenance activities. As of September 30, 2020, the reserve for remediation costs at this site is approximately \$7.8 million, and we estimate that approximately \$2.4 million of this amount will be incurred during the next five years.

Nebraska - We own sites in North Platte, Kearney, and Grand Island, Nebraska on which former manufactured gas facilities were located. We are currently working independently to fully characterize the nature and extent of potential impacts associated with these Nebraska sites. Our reserve estimate includes assumptions for site assessment and remedial action work. At present, we cannot determine with a reasonable degree of certainty the nature and timing of any risk-based remedial action at our Nebraska locations.

Montana - We own or have responsibility for sites in Butte, Missoula, and Helena, Montana on which former manufactured gas plants were located. The Butte and Helena sites, both listed as high priority sites on Montana's state superfund list, were placed into the Montana Department of Environmental Quality (MDEQ) voluntary remediation program for cleanup due to soil and groundwater impacts. Soil and coal tar were removed at the sites in accordance with the MDEQ requirements. Groundwater monitoring is conducted semiannually at both sites. At this time, we cannot estimate with a reasonable degree of certainty the nature and timing of additional remedial actions and/or investigations, if any, at the Butte site.

In August 2016, the MDEQ sent us a Notice of Potential Liability and Request for Remedial Action regarding the Helena site. In October 2019, we submitted a third revised Remedial Investigation Work Plan (RIWP) for the Helena site addressing MDEQ

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comments. The MDEQ approved the RIWP in March 2020 and we expect work at the Helena site to continue through 2020 and into 2021.

MDEQ has indicated it expects to proceed in listing the Missoula site as a Montana superfund site. After researching historical ownership we have identified another potentially responsible party with whom we have entered into an agreement allocating third-party costs to be incurred in addressing the site. The other party is assuming the lead role at the site and has expressed its intent to pursue a voluntary remediation at the Missoula site. At this time, we cannot estimate with a reasonable degree of certainty the nature and timing of risk-based remedial action, if any, at the Missoula site.

Global Climate Change - National and international actions have been initiated to address global climate change and the contribution of greenhouse gas (GHG) including, most significantly, carbon dioxide (CO₂). These actions include legislative proposals, Executive and Environmental Protection Agency (EPA) actions at the federal level, actions at the state level, investor activism and private party litigation relating to GHG emissions. Coal-fired plants have come under particular scrutiny due to their level of GHG emissions. We have joint ownership interests in four coal-fired electric generating plants, all of which are operated by other companies. We are responsible for our proportionate share of the capital and operating costs while being entitled to our proportionate share of the power generated.

While numerous bills have been introduced that address climate change from different perspectives, Congress has not passed any federal climate change legislation and we cannot predict the timing or form of any potential legislation. In 2019, the EPA finalized the Affordable Clean Energy Rule (ACE), which repealed the 2015 Clean Power Plan (CPP) in regulating GHG emissions from coal-fired plants. Various challenges to ACE are pending in the District of Columbia Circuit (D.C. Circuit). Oral arguments in the cases were heard before the D.C. Circuit on October 8, 2020. No decision has been issued in the cases.

Generally, ACE provides more regulatory flexibility to individual states and likely will not reduce CO₂ emissions as much as the CPP. Under the ACE, states must establish unit-specific standards that reflect emissions achievable through heat rate improvements, which the EPA designated as the best system of emissions reduction, and if the state chooses, take into account the remaining useful life of the unit and other source specific factors. States generally have three years to submit the standards to the EPA and coal-fired plants will have two additional years to comply with the standards.

We cannot predict whether or how ACE will be applied to our plants, including actions taken by the relevant state authorities. In addition, it is unclear how pending or future litigation relating to GHG matters will impact us. As GHG regulations are implemented, it could result in additional compliance costs impacting our future results of operations and financial position if such costs are not recovered through regulated rates. We will continue working with federal and state regulatory authorities, other utilities, and stakeholders to seek relief from any GHG regulations that, in our view, disproportionately impact customers in our region.

Future additional environmental requirements could cause us to incur material costs of compliance, increase our costs of procuring electricity, decrease transmission revenue and impact cost recovery. Technology to efficiently capture, remove and/or sequester such GHG emissions may not be available within a timeframe consistent with the implementation of any such requirements. Physical impacts of climate change also may present potential risks for severe weather, such as droughts, fires, floods, ice storms and tornadoes, in the locations where we operate or have interests. These potential risks may impact costs for electric and natural gas supply and maintenance of generation, distribution, and transmission facilities.

Jointly Owned Plants - We have joint ownership in generation plants located in South Dakota, North Dakota, Iowa, and Montana that are or may become subject to the various regulations discussed above that have been issued or proposed. Regarding the ACE, as discussed above, we cannot predict the impact on us until state plans are adopted and any judicial reviews are completed.

Clean Air Act Rules and Associated Emission Control Equipment Expenditures - The EPA has proposed or issued a number of rules under different provisions of the Clean Air Act (CAA) that could require the installation of emission control equipment at the generation plants in which we have joint ownership. Air emissions at our thermal generating plants are managed by the use of emissions and combustion controls and monitoring, and sulfur dioxide allowances. These measures are anticipated to be sufficient to permit the facilities to continue to meet current air emissions compliance requirements.

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Regional Haze Rules - In January 2017, the EPA published amendments to the requirements under the CAA for state plans for protection of visibility - regional haze rules. Among other things, these amendments revised the process and requirements for the state implementation plans and extended the due date for the next periodic comprehensive regional haze state implementation plan revisions from 2018 to 2021.

By July 31, 2021, Montana must develop and submit to the EPA for approval a revised plan that demonstrates reasonable progress toward eliminating man-made emissions of visibility impairing pollutants, which could impact Colstrip Unit 4. In March 2017, we filed a Petition for Review of these amendments with the D.C. Circuit, which was consolidated with other petitions challenging the final rule. The D.C. Circuit has granted the EPA's request to hold the case in abeyance while the EPA considers further administrative action to revisit the rule.

The North Dakota Department of Environmental Quality (ND DEQ) is expected to decide on statewide reduction strategy later in 2020 which could impact the Coyote generating facility. Once the ND DEQ establishes a State Implementation Plan (SIP) for regional haze compliance, the SIP will be submitted for approval to the North Dakota Governor's office and finally to EPA for approval. Following EPA's approval, which is not expected to occur until the second half of 2021, the joint owners of the Coyote generating facility will assess the requirements, if any, and determine whether to move forward with the installation of additional emissions controls. Additional controls, if any, to meet new emission restrictions would have to be in place by the end of 2028 under the current schedule.

Other - We continue to manage equipment containing polychlorinated biphenyl (PCB) oil in accordance with the EPA's Toxic Substance Control Act regulations. We will continue to use certain PCB-contaminated equipment for its remaining useful life and will, thereafter, dispose of the equipment according to pertinent regulations that govern the use and disposal of such equipment.

We routinely engage the services of a third-party environmental consulting firm to assist in performing a comprehensive evaluation of our environmental reserve. Based upon information available at this time, we believe that the current environmental reserve properly reflects our remediation exposure for the sites currently and previously owned by us. The portion of our environmental reserve applicable to site remediation may be subject to change as a result of the following uncertainties:

We may not know all sites for which we are alleged or will be found to be responsible for remediation; and
Absent performance of certain testing at sites where we have been identified as responsible for remediation, we cannot estimate with a reasonable degree of certainty the total costs of remediation.

LEGAL PROCEEDINGS

Pacific Northwest Solar Litigation

Pacific Northwest Solar, LLC (PNWS) is a solar QF developer seeking to construct small solar facilities in Montana. We began negotiating with PNWS in early 2016 to purchase the output from 21 of its proposed facilities pursuant to our standard QF-1 Tariff, which is applicable to projects no larger than 3 MWs.

On June 16, 2016, however, the MPSC suspended the availability of the QF-1 Tariff standard rates for that category of solar projects, which included the projects proposed by PNWS. The MPSC exempted from the suspension any projects for which a QF had both submitted a signed power purchase agreement and had executed an interconnection agreement with us by June 16, 2016. Although we had signed four power purchase agreements with PNWS as of that date, we had not entered into interconnection agreements with PNWS for any of those projects. As a result, none of the PNWS projects in Montana qualified for the exemption.

In November 2016, PNWS sued us in state court seeking unspecified damages for breach of contract and a judicial declaration that some or all of the 21 proposed power purchase agreements it had proposed to us were in effect despite the MPSC's Order. We removed the state lawsuit to the United States District Court for the District of Montana (Court).

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PNWS also requested the MPSC to exempt its projects from the tariff suspension and allow those projects to receive the QF-1 tariff rate that had been in effect prior to the suspension. We joined in PNWS's request for relief with respect to four of the projects, but the MPSC did not grant any of the relief requested by PNWS or us.

In August 2017, pursuant to a non-monetary, partial settlement with us, PNWS amended its original complaint to limit its claims for enforcement and/or damages to only four of the 21 power purchase agreements. As a result, the amount of damages sought by the plaintiff was reduced to approximately \$8 million for the alleged breach of the four power purchase agreements. We participated in an unsuccessful mediation on January 24, 2019 and subsequent settlement efforts also have been unsuccessful. A jury trial was scheduled to begin on June 2, 2020, but the trial was postponed because of the court closure due to the COVID-19 pandemic and has not yet been rescheduled.

We dispute the remaining claims in PNWS' lawsuit and will continue to vigorously defend against them. We cannot currently predict an outcome in this litigation. If the plaintiff prevails and obtains damages for a breach of contract, we may seek to recover those damages in rates from customers. We cannot predict the outcome of any such effort.

State of Montana - Riverbed Rents

On April 1, 2016, the State of Montana (State) filed a complaint on remand (the State's Complaint) with the Montana First Judicial District Court (State District Court), naming us, along with Talen Montana, LLC (Talen) as defendants. The State claimed it owns the riverbeds underlying 10 of our, and formerly Talen's, hydroelectric facilities (dams, along with reservoirs and tailraces) on the Missouri, Madison and Clark Fork Rivers, and seeks rents for Talen's and our use and occupancy of such lands. The facilities at issue include the Hebgen, Madison, Hauser, Holter, Black Eagle, Rainbow, Cochrane, Ryan, and Morony facilities on the Missouri and Madison Rivers and the Thompson Falls facility on the Clark Fork River. We acquired these facilities from Talen in November 2014.

The litigation has a long prior history. In 2012, the United States Supreme Court issued a decision holding that the Montana Supreme Court erred in not considering a segment-by-segment approach to determine navigability and relying on present day recreational use of the rivers. It also held that what it referred to as the Great Falls Reach "at least from the head of the first waterfall to the foot of the last" was not navigable for title purposes, and thus the State did not own the riverbeds in that segment. The United States Supreme Court remanded the case to the Montana Supreme Court for further proceedings not inconsistent with its opinion. Following the 2012 remand, the case laid dormant for four years until the State's Complaint was filed with the State District Court. On April 20, 2016, we removed the case from State District Court to the United States District Court for the District of Montana (Federal District Court). The State filed a motion to remand. Following briefing and argument, on October 10, 2017, the Federal District Court entered an order denying the State's motion.

Because the State's Complaint included a claim that the State owned the riverbeds in the Great Falls Reach, on October 16, 2017, we and Talen renewed our earlier-filed motions seeking to dismiss the portion of the State's Complaint concerning the Great Falls Reach in light of the United States Supreme Court's decision. On August 1, 2018, the Federal District Court granted the motions to dismiss the State's Complaint as it pertains to approximately 8.2 miles of riverbed from "the head of the Black Eagle Falls to the foot of the Great Falls." In particular, the dismissal pertained to the Black Eagle Dam, Rainbow Dam and reservoir, Cochrane Dam and reservoir, and Ryan Dam and reservoir. While the dismissal of these four facilities may be subject to appeal, that appeal would not likely occur until after judgment in the case. On February 12, 2019, the Federal District Court granted our motion to join the United States as a defendant to the litigation. As a result, on October 31, 2019, the State filed and served an Amended Complaint including the United States as a defendant and removing claims of ownership for the hydroelectric facilities on the Great Falls Reach, except for the Morony and the Black Eagle Developments. We and Talen filed answers to the Amended Complaint on December 13, 2019, and the United States answered on February 5, 2020. The Federal District Court held a scheduling conference on June 18, 2020 at which it approved a plan for discovery, and set deadlines in the case, including a trial date of September 27, 2021 on the issue of navigability. Damages were bifurcated by agreement and will be tried separately, should the Federal District Court find any segments navigable. The parties are engaged in discovery and the State has served its expert reports. We, along with the other Defendants, are scheduled to serve our expert reports in December.

We dispute the State's claims and intend to vigorously defend the lawsuit. This matter is still at its early stages, and we cannot predict an outcome. If the Federal District Court determines the riverbeds are navigable under the remaining six facilities that were not

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dismissed and if it calculates damages as the State District Court did in 2008, we estimate the annual rents could be approximately \$3.8 million commencing when we acquired the facilities in November 2014. We anticipate that any obligation to pay the State rent for use and occupancy of the riverbeds would be recoverable in rates from customers, although there can be no assurances that the MPSC would approve any such recovery.

Other Legal Proceedings

We are also subject to various other legal proceedings, governmental audits and claims that arise in the ordinary course of business. In the opinion of management, the amount of ultimate liability with respect to these other actions will not materially affect our financial position, results of operations, or cash flows.

SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS
FOR DEPRECIATION, AMORTIZATION AND DEPLETION

Report in Column (c) the amount for electric function, in column (d) the amount for gas function, in column (e), (f), and (g) report other (specify) and in column (h) common function.

Line No.	Classification (a)	Total Company for the Current Year/Quarter Ended (b)	Electric (c)
1	Utility Plant		
2	In Service		
3	Plant in Service (Classified)	5,891,098,900	4,544,900,306
4	Property Under Capital Leases	43,681,590	
5	Plant Purchased or Sold		
6	Completed Construction not Classified		
7	Experimental Plant Unclassified	1,631,264	1,631,264
8	Total (3 thru 7)	5,936,411,754	4,546,531,570
9	Leased to Others		
10	Held for Future Use	5,499,197	5,469,331
11	Construction Work in Progress	234,209,908	196,395,306
12	Acquisition Adjustments	686,328,434	686,328,434
13	Total Utility Plant (8 thru 12)	6,862,449,293	5,434,724,641
14	Accum Prov for Depr, Amort, & Depl	2,515,475,590	1,928,521,555
15	Net Utility Plant (13 less 14)	4,346,973,703	3,506,203,086
16	Detail of Accum Prov for Depr, Amort & Depl		
17	In Service:		
18	Depreciation	2,286,301,865	1,768,050,179
19	Amort & Depl of Producing Nat Gas Land/Land Right	40,046,299	
20	Amort of Underground Storage Land/Land Rights		
21	Amort of Other Utility Plant	52,095,786	23,439,736
22	Total In Service (18 thru 21)	2,378,443,950	1,791,489,915
23	Leased to Others		
24	Depreciation		
25	Amortization and Depletion		
26	Total Leased to Others (24 & 25)		
27	Held for Future Use		
28	Depreciation		
29	Amortization		
30	Total Held for Future Use (28 & 29)		
31	Abandonment of Leases (Natural Gas)		
32	Amort of Plant Acquisition Adj	137,031,640	137,031,640
33	Total Accum Prov (equals 14) (22,26,30,31,32)	2,515,475,590	1,928,521,555

SUMMARY OF UTILITY PLANT AND ACCUMULATED PROVISIONS
FOR DEPRECIATION, AMORTIZATION AND DEPLETION

Gas (d)	Other (Specify) (e)	Other (Specify) (f)	Other (Specify) (g)	Common (h)	Line No.
					1
					2
1,110,510,034	1,523,174			234,165,386	3
		40,209,537	3,472,053		4
					5
					6
					7
1,110,510,034	1,523,174	40,209,537	3,472,053	234,165,386	8
					9
29,866					10
26,994,692				10,819,910	11
					12
1,137,534,592	1,523,174	40,209,537	3,472,053	244,985,296	13
489,390,339	996,334	28,649,275		67,918,087	14
648,144,253	526,840	11,560,262	3,472,053	177,067,209	15
					16
					17
442,643,320	996,334	28,649,275		45,962,757	18
40,046,299					19
					20
6,700,720				21,955,330	21
489,390,339	996,334	28,649,275		67,918,087	22
					23
					24
					25
					26
					27
					28
					29
					30
					31
					32
489,390,339	996,334	28,649,275		67,918,087	33

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ELECTRIC PLANT IN SERVICE AND ACCUMULATED PROVISION FOR DEPRECIATION BY FUNCTION

1. Report below the original cost of plant in service by function. In addition to Account 101, include Account 102, and Account 106. Report in column (b) the original cost of plant in service and in column(c) the accumulated provision for depreciation and amortization by function.

Line No.	Item (a)	Plant in Service Balance at End of Quarter (b)	Accumulated Depreciation and Amortization Balance at End of Quarter (c)
1	Intangible Plant	22,790,024	10,635,186
2	Steam Production Plant	385,770,010	140,214,851
3	Nuclear Production Plant		
4	Hydraulic Production - Conventional	555,884,475	135,621,681
5	Hydraulic Production - Pumped Storage		
6	Other Production	481,006,816	117,090,822
7	Transmission	1,099,471,106	457,434,472
8	Distribution	1,845,977,384	843,454,624
9	Regional Transmission and Market Operation		
10	General	155,631,755	87,038,279
11	TOTAL (Total of lines 1 through 10)	4,546,531,570	1,791,489,915

Transmission Service and Generation Interconnection Study Costs

1. Report the particulars (details) called for concerning the costs incurred and the reimbursements received for performing transmission service and generator interconnection studies.
2. List each study separately.
3. In column (a) provide the name of the study.
4. In column (b) report the cost incurred to perform the study at the end of period.
5. In column (c) report the account charged with the cost of the study.
6. In column (d) report the amounts received for reimbursement of the study costs at end of period.
7. In column (e) report the account credited with the reimbursement received for performing the study.

Line No.	Description (a)	Costs Incurred During Period (b)	Account Charged (c)	Reimbursements Received During the Period (d)	Account Credited With Reimbursement (e)
1	Transmission Studies				
2	FAC Studies	15,035	253	50,000	253
3	SIS Studies	31,081	253	25,841	253
4	Line Interconnection Studies	1,306	253	10,000	253
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21	Generation Studies				
22	FAC Studies	74,455	253	520,237	253
23	FEA Studies	168,310	253	284,106	253
24	Optional Studies	38,456	253	120,000	253
25	SIS Studies	91,857	253	498,742	253
26					
27					
28					
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OTHER REGULATORY ASSETS (Account 182.3)

1. Report below the particulars (details) called for concerning other regulatory assets, including rate order docket number, if applicable.
2. Minor items (5% of the Balance in Account 182.3 at end of period, or amounts less than \$100,000 which ever is less), may be grouped by classes.
3. For Regulatory Assets being amortized, show period of amortization.

Line No.	Description and Purpose of Other Regulatory Assets (a)	Balance at Beginning of Current Quarter/Year (b)	Debits (c)	CREDITS		Balance at end of Current Quarter/Year (f)
				Written off During the Quarter /Year Account Charged (d)	Written off During the Period Amount (e)	
1	Montana:					
2						
3	FAS 109 & Other	344,197,776	7,094,493	Various		351,292,269
4						
5	Tax Cut Jobs Act (TCJA) Deficient Accumulated	63,707,092		410.1	736,159	62,970,933
6	Deferred Income Taxes (ADIT)					
7						
8	Basin Creek Capital Lease	6,660,736		243	139,495	6,521,241
9						
10	BPA Residential Exchange Program	819,145	1,588,015	254	1,280,594	1,126,566
11	Docket 2018.8.49 Order 7630					
12	Annual Amortization					
13						
14	Property Tax Tracker	6,740,834	5,517,392	(2)407	722,537	11,535,689
15	Docket 2017.11.86 - Order 7580a					
16	Annual Amortization					
17						
18	FAS 106	2,705,197		(2)926	215,379	2,489,818
19	Docket 93.6.24 and Docekt 2009.9.129					
20						
21	FAS 112	4,893,957		(2)926		4,893,957
22	Docket 93.6.24 and Docket 2009.9.129					
23						
24	CTC QF Over/Under Collections	536,646	1,107,760	407	1,099,416	544,990
25	Docket 97.7.90 and Docket 2001.1.5					
26	Annual Amortization					
27						
28	Compensated Absences	12,573,264		242	455,490	12,117,774
29	Docket 97.11.219					
30						
31	Excess Refunds Interim General Rate Case					
32						
33	Pension Plan	125,378,736	525,066			125,903,802
34						
35	Montana Consumer Council Tax	427,799	110,547	Various		538,346
36	Docket 2018.10.67 - Order 7637					
37						
38	Montana Public Service Commission Tax	4,536,373	393,740		310,730	4,619,383
39	Docket 2017.9.78 - Order 7568					
40						
41	Natural Gas Transmission Verification Program	2,014,402		(2)407	143,886	1,870,516
42	Docket 2016.11.88					
43						

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OTHER REGULATORY ASSETS (Account 182.3)

1. Report below the particulars (details) called for concerning other regulatory assets, including rate order docket number, if applicable.
2. Minor items (5% of the Balance in Account 182.3 at end of period, or amounts less than \$100,000 which ever is less), may be grouped by classes.
3. For Regulatory Assets being amortized, show period of amortization.

Line No.	Description and Purpose of Other Regulatory Assets (a)	Balance at Beginning of Current Quarter/Year (b)	Debits (c)	CREDITS		Balance at end of Current Quarter/Year (f)
				Written off During the Quarter /Year Account Charged (d)	Written off During the Period Amount (e)	
1	Study of the Costs and Benefits of	139,267			13,056	126,211
2	Customer Generators					
3						
4	Asset Retirement Obligation	16,242,370	513,023			16,755,393
5						
6						
7	South Dakota:					
8						
9	FAS 109 & Other	50,683,731	2,218,287			52,902,018
10						
11	Tax Cut Jobs Act (TCJA) Deficient Accumulated	7,336,665			46,575	7,290,090
12	Deferred Income Taxes (ADIT)					
13						
14	Pension Plan	7,635,790			17,832	7,617,958
15						
16	Manufactured Gas Plants	11,022,375			255,816	10,766,559
17	Docket NG 11-003					
18						
19	Rate Case Costs	32,532			19,521	13,011
20	Docket EL 14-106					
21						
22	Field Inventory	486,981			22,479	464,502
23	Docket EL 14-106					
24						
25	Asset Retirement Obligation	5,054,420	144,722			5,199,142
26						
27	COVID Uncollectable Accounts Deferral		383,065			383,065
28						
29						
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40						
41						
42						
43						
44	TOTAL :	673,826,088	19,596,110		5,478,965	687,943,233

OTHER REGULATORY LIABILITIES (Account 254)

1. Report below the particulars (details) called for concerning other regulatory liabilities, including rate order docket number, if applicable.
2. Minor items (5% of the Balance in Account 254 at end of period, or amounts less than \$100,000 which ever is less), may be grouped by classes.
3. For Regulatory Liabilities being amortized, show period of amortization.

Line No.	Description and Purpose of Other Regulatory Liabilities (a)	Balance at Beginning of Current Quarter/Year (b)	DEBITS		Credits (e)	Balance at End of Current Quarter/Year (f)
			Account Credited (c)	Amount (d)		
1	Montana Operations:					
2						
3	Tax Cut Jobs Act (TCJA) Excess Accumulated	146,264,854		675,463		145,589,391
4	Deferred Income Taxes (ADIT)					
5						
6	Deferred Gas Storage Sales	8,097,235	(2)407	105,128		7,992,107
7	Docket 2001.1.1					
8	Amortization 2001-2039					
9						
10	Montana Public Service Commission &	1,585,638	Various	23,827		1,561,811
11	Montana Consumer Council Taxes					
12	Dockets 2017.9.78 and 2018.10.67					
13						
14	Property Tax Tracker					
15	Docket 2017.11.86 - Order 7580a					
16	Annual Amortization					
17						
18	Natural Gas Regulatory Deferrals	62,555		62,555		
19						
20	South Dakota Operations:					
21	Tax Cut Jobs Act (TCJA) Excess Accumulated	23,170,745		376,085		22,794,660
22	Deferred Income Taxes (ADIT)					
23						
24	Current Ad Valorem True-Up	4,868	(2)407	44,728	253,922	214,062
25	Docket GE98-001					
26						
27	Aberdeen Manufactured Gas Plant	966,779		233,100		733,679
28	Docket NG 11-003					
29						
30	Unbilled Revenues	10,256,124		1,066,125		9,189,999
31						
32	Tax Cut Jobs Act Deferral	442,000		287,000		155,000
33						
34						
35						
36						
37						
38						
39						
40						
41	TOTAL	190,850,798		2,874,011	253,922	188,230,709

ELECTRIC OPERATING REVENUES (Account 400)

1. The following instructions generally apply to the annual version of these pages. Do not report quarterly data in columns (c), (e), (f), and (g). Unbilled revenues and MWH related to unbilled revenues need not be reported separately as required in the annual version of these pages.
2. Report below operating revenues for each prescribed account, and manufactured gas revenues in total.
3. Report number of customers, columns (f) and (g), on the basis of meters, in addition to the number of flat rate accounts; except that where separate meter readings are added for billing purposes, one customer should be counted for each group of meters added. The -average number of customers means the average of twelve figures at the close of each month.
4. If increases or decreases from previous period (columns (c),(e), and (g)), are not derived from previously reported figures, explain any inconsistencies in a footnote.
5. Disclose amounts of \$250,000 or greater in a footnote for accounts 451, 456, and 457.2.

Line No.	Title of Account (a)	Operating Revenues Year to Date Quarterly/Annual (b)	Operating Revenues Previous year (no Quarterly) (c)
1	Sales of Electricity		
2	(440) Residential Sales	290,639,821	
3	(442) Commercial and Industrial Sales		
4	Small (or Comm.) (See Instr. 4)	317,282,077	
5	Large (or Ind.) (See Instr. 4)	51,942,476	
6	(444) Public Street and Highway Lighting	13,288,758	
7	(445) Other Sales to Public Authorities	681,462	
8	(446) Sales to Railroads and Railways		
9	(448) Interdepartmental Sales	672,155	
10	TOTAL Sales to Ultimate Consumers	674,506,749	
11	(447) Sales for Resale	11,039,093	
12	TOTAL Sales of Electricity	685,545,842	
13	(Less) (449.1) Provision for Rate Refunds	1,168,875	
14	TOTAL Revenues Net of Prov. for Refunds	684,376,967	
15	Other Operating Revenues		
16	(450) Forfeited Discounts	98,100	
17	(451) Miscellaneous Service Revenues	159,611	
18	(453) Sales of Water and Water Power		
19	(454) Rent from Electric Property	3,317,327	
20	(455) Interdepartmental Rents		
21	(456) Other Electric Revenues	7,080,257	
22	(456.1) Revenues from Transmission of Electricity of Others	56,295,700	
23	(457.1) Regional Control Service Revenues		
24	(457.2) Miscellaneous Revenues		
25	(449.1) Provision for Rate Refunds	-17,047,393	
26	TOTAL Other Operating Revenues	49,903,602	
27	TOTAL Electric Operating Revenues	734,280,569	

ELECTRIC OPERATING REVENUES (Account 400)

6. Commercial and industrial Sales, Account 442, may be classified according to the basis of classification (Small or Commercial, and Large or Industrial) regularly used by the respondent if such basis of classification is not generally greater than 1000 Kw of demand. (See Account 442 of the Uniform System of Accounts. Explain basis of classification in a footnote.)
7. See pages 108-109, Important Changes During Period, for important new territory added and important rate increase or decreases.
8. For Lines 2,4,5,and 6, see Page 304 for amounts relating to unbilled revenue by accounts.
9. Include unmetered sales. Provide details of such Sales in a footnote.

MEGAWATT HOURS SOLD		AVG.NO. CUSTOMERS PER MONTH		Line No.
Year to Date Quarterly/Annual (d)	Amount Previous year (no Quarterly) (e)	Current Year (no Quarterly) (f)	Previous Year (no Quarterly) (g)	
				1
2,407,895				2
				3
2,768,691				4
522,309				5
44,791				6
5,651				7
				8
5,796				9
5,755,133				10
1,677,669				11
7,432,802				12
				13
7,432,802				14

Line 12, column (b) includes \$ 0 of unbilled revenues.
 Line 12, column (d) includes 0 MWH relating to unbilled revenues

ELECTRIC PRODUCTION, OTHER POWER SUPPLY EXPENSES, TRANSMISSION AND DISTRIBUTION EXPENSES

Report Electric production, other power supply expenses, transmission, regional control and market operation, and distribution expenses through the reporting period.

Line No.	Account (a)	Year to Date Quarter (b)
1	1. POWER PRODUCTION AND OTHER SUPPLY EXPENSES	
2	Steam Power Generation - Operation (500-509)	34,581,093
3	Steam Power Generation - Maintenance (510-515)	8,154,037
4	Total Power Production Expenses - Steam Power	42,735,130
5	Nuclear Power Generation - Operation (517-525)	
6	Nuclear Power Generation - Maintenance (528-532)	
7	Total Power Production Expenses - Nuclear Power	
8	Hydraulic Power Generation - Operation (535-540.1)	8,374,150
9	Hydraulic Power Generation - Maintenance (541-545.1)	2,307,423
10	Total Power Production Expenses - Hydraulic Power	10,681,573
11	Other Power Generation - Operation (546-550.1)	11,548,657
12	Other Power Generation - Maintenance (551-554.1)	1,320,712
13	Total Power Production Expenses - Other Power	12,869,369
14	Other Power Supply Expenses	
15	Purchased Power (555)	146,864,638
16	System Control and Load Dispatching (556)	237,781
17	Other Expenses (557)	12,739,908
18	Total Other Power Supply Expenses (line 15-17)	159,842,327
19	Total Power Production Expenses (Total of lines 4, 7, 10, 13 and 18)	226,128,399
20	2. TRANSMISSION EXPENSES	
21	Transmission Operation Expenses	
22	(560) Operation Supervision and Engineering	2,127,599
23		
24	(561.1) Load Dispatch-Reliability	707,726
25	(561.2) Load Dispatch-Monitor and Operate Transmission System	601,406
26	(561.3) Load Dispatch-Transmission Service and Scheduling	839,368
27	(561.4) Scheduling, System Control and Dispatch Services	
28	(561.5) Reliability, Planning and Standards Development	42,585
29	(561.6) Transmission Service Studies	
30	(561.7) Generation Interconnection Studies	
31	(561.8) Reliability, Planning and Standards Development Services	
32	(562) Station Expenses	1,033,874
33	(563) Overhead Line Expenses	1,086,312
34	(564) Underground Line Expenses	
35	(565) Transmission of Electricity by Others	20,618,834
36	(566) Miscellaneous Transmission Expenses	82,237
37	(567) Rents	493,531
38	(567.1) Operation Supplies and Expenses (Non-Major)	

ELECTRIC PRODUCTION, OTHER POWER SUPPLY EXPENSES, TRANSMISSION AND DISTRIBUTION EXPENSES

Report Electric production, other power supply expenses, transmission, regional control and market operation, and distribution expenses through the reporting period.

Line No.	Account (a)	Year to Date Quarter (b)
39	TOTAL Transmission Operation Expenses (Lines 22 - 38)	27,633,472
40	Transmission Maintenance Expenses	
41	(568) Maintenance Supervision and Engineering	392,207
42	(569) Maintenance of Structures	32,960
43	(569.1) Maintenance of Computer Hardware	733,545
44	(569.2) Maintenance of Computer Software	1,721
45	(569.3) Maintenance of Communication Equipment	79,287
46	(569.4) Maintenance of Miscellaneous Regional Transmission Plant	
47	(570) Maintenance of Station Equipment	568,061
48	(571) Maintenance Overhead Lines	2,132,976
49	(572) Maintenance of Underground Lines	274
50	(573) Maintenance of Miscellaneous Transmission Plant	
51	(574) Maintenance of Transmission Plant	
52	TOTAL Transmission Maintenance Expenses (Lines 41 - 51)	3,941,031
53	Total Transmission Expenses (Lines 39 and 52)	31,574,503
54	3. REGIONAL MARKET EXPENSES	
55	Regional Market Operation Expenses	
56	(575.1) Operation Supervision	
57	(575.2) Day-Ahead and Real-Time Market Facilitation	300,719
58	(575.3) Transmission Rights Market Facilitation	
59	(575.4) Capacity Market Facilitation	
60	(575.5) Ancillary Services Market Facilitation	85,919
61	(575.6) Market Monitoring and Compliance	42,960
62	(575.7) Market Facilitation, Monitoring and Compliance Services	
63	Regional Market Operation Expenses (Lines 55 - 62)	429,598
64	Regional Market Maintenance Expenses	
65	(576.1) Maintenance of Structures and Improvements	
66	(576.2) Maintenance of Computer Hardware	
67	(576.3) Maintenance of Computer Software	
68	(576.4) Maintenance of Communication Equipment	
69	(576.5) Maintenance of Miscellaneous Market Operation Plant	
70	Regional Market Maintenance Expenses (Lines 65-69)	
71	TOTAL Regional Control and Market Operation Expenses (Lines 63,70)	429,598
72	4. DISTRIBUTION EXPENSES	
73	Distribution Operation Expenses (580-589)	12,318,234
74	Distribution Maintenance Expenses (590-598)	14,433,929
75	Total Distribution Expenses (Lines 73 and 74)	26,752,163

ELECTRIC CUSTOMER ACCOUNTS, SERVICE, SALES, ADMINISTRATIVE AND GENERAL EXPENSES

Report the amount of expenses for customer accounts, service, sales, and administrative and general expenses year to date.

Line No.	Account (a)	Year to Date Quarter (b)
1	(901-905) Customer Accounts Expenses	11,786,136
2	(907-910) Customer Service and Information Expenses	3,821,232
3	(911-917) Sales Expenses	285,473
4	8. ADMINISTRATIVE AND GENERAL EXPENSES	
5	Operations	
6	920 Administrative and General Salaries	23,043,998
7	921 Office Supplies and Expenses	8,018,841
8	(Less) 922 Administrative Expenses Transferred-Credit	4,235,222
9	923 Outside Services Employed	4,335,821
10	924 Property Insurance	2,093,515
11	925 Injuries and Damages	6,817,577
12	926 Employee Pensions and Benefits	15,427,209
13	927 Franchise Requirements	
14	928 Regulatory Commission Expenses	1,823,717
15	(Less) 929 Duplicate Charges-Credit	
16	930.1 General Advertising Expenses	15,436
17	930.2 Miscellaneous General Expenses	11,284,313
18	931 Rents	1,230,532
19	TOTAL Operation (Total of lines 6 thru 18)	69,855,737
20	Maintenance	
21	935 Maintenance of General Plant	1,302,919
22	TOTAL Administrative and General Expenses (Total of lines 19 and 21)	71,158,656

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.

2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).

3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)

4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	MONTANA NETWORK TRANSMISSION			
2	Ash Grove Cement	Talen Energy	Ash Grove Cement	FNO
3	Aspen Air U.S., LLC	Talen Energy	Aspen Air Corporation	FNO
4	Barretts Minerals, Inc.	Talen Energy	Barretts Minerals, Inc.	FNO
5	Beartooth Electric Cooperative, Inc.	WAPA	Beartooth Electric Cooperative, .	FNO
6	Benefis Health System	Energy Keepers, Inc.	Benefis Health Systems	FNO
7	Big Horn County Electric Coop, Inc.	WAPA	Big Horn County Electric Coop, I	FNO
8	Bonneville Power Administration	BPA	Bonneville Power Administration	FNO
9	Basin Electric Power Cooperative	Basic Electric & WAPA	Basin Electric Power Cooperative	FNO
10	Basin Electric Power Cooperative	Basic Electric & WAPA	Basin Electric Power Cooperative	FNO
11	CHS, Inc.	Morgan Stanley	CHS, Inc.	FNO
12	City of Great Falls	Energy Keepers, Inc.	City of Great Falls	FNO
13	Talen Montana, LLC	Avista Energy	Colstrip Steam Electric Station	FNO
14	CryptoWatt Mining, LLC	Talen Energy	CryptoWatt Mining, LLC	FNO
15	Phillips 66 Company	Tenaska	Phillips 66 Company	FNO
16	ExxonMobil Corporation	Talen Energy	ExxonMobil Corporation	FNO
17	General Mills Operations, LLC	Talen Energy	General Mills Operations, LLC	FNO
18	Great Falls Public Schools	Talen Energy	Great Falls Public Schools	FNO
19	GCC Three Forks, LLC	Energy Keepers, Inc.	GCC Three Forks, LLC	FNO
20	Imersys Talc America Inc.	Energy Keepers, Inc.	Imersys Talc America Inc.	FNO
21	Suiza Dairy Group, LLC	Talen Energy	Suiza Dairy Group, LLC	FNO
22	Calumet Refining, LLC	Talen Energy	Calumet Montana Refining Company,	FNO
23	Montana Resources	Talen Energy & Energy	Montana Resources	FNO
24	REC Silicon Company	Morgan Stanley	REC Silicon Company	FNO
25	Roseburg Forest Products Company	Energy Keepers, Inc.	Roseburg Forest Products Company	FNO
26	Sibanye-Stillwater	Energy Keepers, Inc.	Stillwater Mining Company	FNO
27	Town of Philipsburg	Town of Philipsburg	Town of Philipsburg	FNO
28	Western Area Power Administration	WAPA	Western Area Power Administratio	FNO
29	HyperBlock, LLC	Energy Keepers, Inc.	HyperBlock, LLC	FNO
30				
31	MONTANA			
32	Western Area Power Admin	WAPA	WAPA	NF
33	Western Area Power Admin	WAPA	WAPA	NF
34	Western Area Power Admin	NWMT	NWMT	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
						1
Montana OATT	Colstrip	Clancy, MT	7	11,020	11,020	2
Montana OATT	Colstrip	Billings, MT	11	12,216	12,216	3
Montana OATT	Kerr	Dillon, MT	6	6,784	6,784	4
Montana OATT	Fort Peck	Various in Montana	18	19,970	19,970	5
Montana OATT	Kerr	Various in Montana	7	9,439	9,439	6
Montana OATT	Various & Great Falls	Various in Montana	18	19,222	19,222	7
Montana OATT	BPAT.NWMT	Various in Montana	185	210,835	210,835	8
Montana OATT	Various in Montana	Various NWMT & WAUW	181	206,243	206,243	9
Montana OATT	Crossover	Various NWMT & WAUW	15	18,073	18,073	10
Montana OATT	MATL.NWMT	Various in Montana	58	89,326	89,326	11
Montana OATT	Kerr	Various in Montana	6	6,175	6,175	12
Montana OATT	Colstrip	Nichols Pump Sub	10	7,514	7,514	13
Montana OATT	BPAT.NWMT	Butte, MT	75	46,265	46,265	14
Montana OATT	BPAT.NWMT	Various in Montana	70	119,117	119,117	15
Montana OATT	Colstrip	Billings, MT	35	64,010	64,010	16
Montana OATT	Colstrip	Great Falls, MT	4	5,420	5,420	17
Montana OATT	Colstrip	Great Falls, MT	1	1,471	1,471	18
Montana OATT	Kerr	Three Forks, MT	8	11,648	11,648	19
Montana OATT	Kerr	Three Forks, MT	6	6,100	6,100	20
Montana OATT	Colstrip	Various in Montana	2	1,641	1,641	21
Montana OATT	Colstrip	Great Falls, MT	25	29,541	29,541	22
Montana OATT	Colstrip & Kerr	Butte, MT	50	93,453	93,453	23
Montana OATT	Hardin	Butte, MT	117	117,455	117,455	24
Montana OATT	Kerr	Missoula, MT	8	12,439	12,439	25
Montana OATT	Kerr	Various in Montana	46	71,095	71,095	26
Montana OATT	Philipsburg Substatn	Philipsburg, MT		98	98	27
Montana OATT	Crossover	Various NWMT & WAUW	4	2	2	28
Montana OATT	Kerr	Bonner, MT	20	104	104	29
						30
						31
Montana OATT	CANYON FERRY	CROSSOVER		29,046	29,046	32
Montana OATT	CANYON FERRY	GREAT FALLS		20,085	20,085	33
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		2,397	2,397	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
				1
46,476			46,476	2
72,364			72,364	3
51,799			51,799	4
154,040			154,040	5
61,756			61,756	6
138,544			138,544	7
1,403,908			1,403,908	8
1,465,466			1,465,466	9
131,933			131,933	10
502,351			502,351	11
34,925			34,925	12
56,670			56,670	13
559,017			559,017	14
673,103			673,103	15
342,528			342,528	16
28,905			28,905	17
14,739			14,739	18
53,736			53,736	19
43,794			43,794	20
8,604			8,604	21
161,697			161,697	22
523,608			523,608	23
759,241			759,241	24
75,106			75,106	25
373,152			373,152	26
1,039			1,039	27
7,480			7,480	28
170,031			170,031	29
				30
				31
		160,973	160,973	32
		111,311	111,311	33
		13,283	13,283	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.

2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).

3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)

4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Western Area Power Admin	WAPA	NWMT	NF
2	Western Area Power Admin	WAPA	WAPA	NF
3	Western Area Power Admin	WAPA	WAPA	NF
4				
5	PacifiCorp	NWMT	NWMT	NF
6	PacifiCorp	Colstrip Partners	PacifiCorp	NF
7	PacifiCorp	PacifiCorp	NWMT	NF
8	PacifiCorp	PacifiCorp	NWMT	NF
9				
10	Iberdrola Renewables	BPA	PacifiCorp	NF
11	Iberdrola Renewables	BPA	PacifiCorp	NF
12				
13	Avista Corporation	AVISTA	NWMT	NF
14	Avista Corporation	AVISTA	NWMT	NF
15	Avista Corporation	AVISTA	AVISTA	NF
16	Avista Corporation	AVISTA	AVISTA	SFP
17	Avista Corporation	NWMT	NWMT	NF
18				
19	Bonneville Power Administration	NWMT	NWMT	NF
20	Bonneville Power Administration	BPA	PacifiCorp	NF
21	Bonneville Power Administration	BPA	PacifiCorp	NF
22				
23	Black Hills Power Inc	NWMT	NWMT	NF
24	Black Hills Power Inc	PacifiCorp	NWMT	NF
25	Black Hills Power Inc	BPA	PacifiCorp	NF
26	Black Hills Power Inc	AVISTA	PacifiCorp	NF
27	Black Hills Power Inc	WAPA	PacifiCorp	NF
28	Black Hills Power Inc	PacifiCorp	BPA	NF
29	Black Hills Power Inc	Colstrip Partners	PacifiCorp	NF
30				
31	Basin Electric Power Cooperative	BPA	PacifiCorp	NF
32	Basin Electric Power Cooperative	BPA	WAPA	NF
33	Basin Electric Power Cooperative	NWMT	PacifiCorp	NF
34	Basin Electric Power Cooperative	NWMT	PacifiCorp	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	GREAT FALLS	NWMT.SYSTEM		4,410	4,410	1
Montana OATT	CROSSOVER	GREAT FALLS		217	217	2
Montana OATT	GREAT FALLS	CROSSOVER		6,562	6,562	3
						4
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		11	11	5
Montana OATT	COLSTRIP	YTP		137	137	6
Montana OATT	MLCK	JEFF		1,481	1,481	7
Montana OATT	YTP	COLSTRIP		92	92	8
						9
Montana OATT	BPAT.NWMT	BRDY		12	12	10
Montana OATT	BPAT.NWMT	YTP		100	100	11
						12
Montana OATT	AVAT.NWMT	NWMT.SYSTEM		357	357	13
Montana OATT	AVAT.NWMT	COLSTRIP		138	138	14
Montana OATT	COLSTRIP	AVAT.NWMT		1,794	1,794	15
Montana OATT	COLSTRIP	AVAT.NWMT		168	168	16
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		50	50	17
						18
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		10	10	19
Montana OATT	BPAT.NWMT	YTP		983	983	20
Montana OATT	BPAT.NWMT	BRDY		2,243	2,243	21
						22
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		68	68	23
Montana OATT	YTP	NWMT.SYSTEM		5	5	24
Montana OATT	BPAT.NWMT	YTP		185	185	25
Montana OATT	AVAT.NWMT	YTP		1,070	1,070	26
Montana OATT	CROSSOVER	YTP		30	30	27
Montana OATT	YTP	BPAT.NWMT		15	15	28
Montana OATT	COLSTRIP	YTP		1,010	1,010	29
						30
Montana OATT	BPAT.NWMT	YTP		330	330	31
Montana OATT	BPAT.NWMT	GREAT FALLS		720	720	32
Montana OATT	JUDITHGAP	YTP		3	3	33
Montana OATT	NWMT IMBALANCE	BRDY		3	3	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	24,126		24,126	1
	1,203		1,203	2
	36,367		36,367	3
				4
	62		62	5
	759		759	6
	8,208		8,208	7
	510		510	8
				9
	66		66	10
	554		554	11
				12
	1,978		1,978	13
	765		765	14
	9,942		9,942	15
	931		931	16
	277		277	17
				18
	55		55	19
	5,448		5,448	20
	12,431		12,431	21
				22
	378		378	23
	28		28	24
	1,025		1,025	25
	5,930		5,930	26
	166		166	27
	83		83	28
	5,597		5,597	29
				30
	1,829		1,829	31
	3,990		3,990	32
	17		17	33
	17		17	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)
4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Basin Electric Power Cooperative	NWMT	NWMT	NF
2	Basin Electric Power Cooperative	NWMT	PacifiCorp	NF
3	Basin Electric Power Cooperative	NWMT	NWMT	NF
4	Basin Electric Power Cooperative	NWMT	PacifiCorp	NF
5	Basin Electric Power Cooperative	NWMT	PacifiCorp	SFP
6	Basin Electric Power Cooperative	PacifiCorp	PacifiCorp	NF
7	Basin Electric Power Cooperative	PacifiCorp	WAPA	NF
8	Basin Electric Power Cooperative	WAPA	NWMT	NF
9	Basin Electric Power Cooperative	WAPA	WAPA	LFP
10				
11	Brookfield Energy Marketing LP	PacifiCorp	PacifiCorp	NF
12	Brookfield Energy Marketing LP	PacifiCorp	PacifiCorp	NF
13	Brookfield Energy Marketing LP	BPA	PacifiCorp	NF
14	Brookfield Energy Marketing LP	BPA	PacifiCorp	SFP
15	Brookfield Energy Marketing LP	BPA	PacifiCorp	NF
16	Brookfield Energy Marketing LP	BPA	PacifiCorp	SFP
17	Brookfield Energy Marketing LP	BPA	PacifiCorp	SFP
18	Brookfield Energy Marketing LP	NWMT	NWMT	NF
19				
20	Shell Energy North America	BPA	WAPA	NF
21	Shell Energy North America	WAPA	BPA	NF
22				
23	Shell Energy North America	NWMT	NWMT	NF
24	Shell Energy North America	AVISTA	PacifiCorp	NF
25	Shell Energy North America	AVISTA	WAPA	NF
26	Shell Energy North America	PacifiCorp	BPA	NF
27	Shell Energy North America	BPA	PacifiCorp	NF
28	Shell Energy North America	BPA	PacifiCorp	NF
29	Shell Energy North America	BPA	PacifiCorp	NF
30				
31	EDF Trading North America, LLC	NWMT	BPAT	NF
32	EDF Trading North America, LLC	NWMT	BPAT	SFP
33	EDF Trading North America, LLC	NWMT	NWMT	NF
34	EDF Trading North America, LLC	NWMT	NWMT	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	NWMT IMBALANCE	MLCK		3	3	1
Montana OATT	NWMT IMBALANCE	YTP		3	3	2
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		352	352	3
Montana OATT	COLSTRIP	YTP		528	528	4
Montana OATT	CANYONFERRY	YTP		144	144	5
Montana OATT	MLCK	BRDY		3	3	6
Montana OATT	YTP	CROSSOVER		20	20	7
Montana OATT	CROSSOVER	NWMT.SYSTEM		107	107	8
Montana OATT	CROSSOVER	GREAT FALLS	31	68,448	68,448	9
						10
Montana OATT	YTP	BRDY		780	780	11
Montana OATT	YTP	BRDY		2,400	2,400	12
Montana OATT	BPAT.NWMT	BRDY		338	338	13
Montana OATT	BPAT.NWMT	BRDY		3,696	3,696	14
Montana OATT	BPAT.NWMT	BRDY		624	624	15
Montana OATT	BPAT.NWMT	BRDY		4,368	4,368	16
Montana OATT	BPAT.NWMT	BRDY		54,456	54,456	17
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		1,560	1,560	18
						19
Montana OATT	BPAT.NWMT	CROSSOVER		312	312	20
Montana OATT	CROSSOVER	BPAT.NWMT		5,590	5,590	21
						22
Montana OATT	COLSTRIP	NWMT.SYSTEM		814	814	23
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		2,319	2,319	24
Montana OATT	COLSTRIP	BPAT.NWMT		130	130	25
Montana OATT	BPAT.NWMT	JEFF		3,165	3,165	26
Montana OATT	BPAT.NWMT	NWMT.SYSTEM		355	355	27
Montana OATT	COLSTRIP	BPAT.NWMT		10,650	10,650	28
Montana OATT	COLSTRIP	BPAT.NWMT		592	592	29
						30
Montana OATT	COLSTRIP	BPAT.NWMT		2,269	2,269	31
Montana OATT	COLSTRIP	BPAT.NWMT		1,344	1,344	32
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		1,316	1,316	33
Montana OATT	COLSTRIP	COLSTRIP		516	516	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	17		17	1
	17		17	2
	1,951		1,951	3
	2,926		2,926	4
	798		798	5
	17		17	6
	111		111	7
	593		593	8
373,930			373,930	9
				10
	4,323		4,323	11
	13,300		13,300	12
	1,873		1,873	13
	20,482		20,482	14
	3,458		3,458	15
	24,128		24,128	16
	297,554		297,554	17
	8,642		8,642	18
				19
	1,729		1,729	20
	30,980		30,980	21
				22
	4,509		4,509	23
	12,852		12,852	24
	720		720	25
	17,540		17,540	26
	1,967		1,967	27
	59,850		59,850	28
	3,284		3,284	29
				30
	12,575		12,575	31
	7,448		7,448	32
	7,290		7,290	33
	2,860		2,860	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)
4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	EDF Trading North America, LLC	NWMT	MATL.NWMT	NF
2	EDF Trading North America, LLC	NWMT	PacifiCorp	NF
3	EDF Trading North America, LLC	NWMT	PacifiCorp	NF
4	EDF Trading North America, LLC	NWMT	PacifiCorp	SFP
5	EDF Trading North America, LLC	NWMT	PacifiCorp	NF
6	EDF Trading North America, LLC	NWMT	PacifiCorp	NF
7	EDF Trading North America, LLC	NWMT	WAPA	NF
8	EDF Trading North America, LLC	AVISTA	NWMT	NF
9	EDF Trading North America, LLC	AVISTA	AVISTA	NF
10	EDF Trading North America, LLC	BPA	NWMT	NF
11	EDF Trading North America, LLC	BPA	NWMT	SFP
12	EDF Trading North America, LLC	BPA	NWMT	NF
13	EDF Trading North America, LLC	WAPA	BPA	NF
14	EDF Trading North America, LLC	WAPA	PacifiCorp	NF
15	EDF Trading North America, LLC	WAPA	PacifiCorp	NF
16	EDF Trading North America, LLC	WAPA	NWMT	NF
17				
18	Energy Keepers, Inc.	NWMT	BPA	LFP
19	Energy Keepers, Inc.	NWMT	BPA	OLF
20	Energy Keepers, Inc.	NWMT	PacifiCorp	NF
21	Energy Keepers, Inc.	NWMT	PacifiCorp	NF
22	Energy Keepers, Inc.	NWMT	PacifiCorp	SFP
23	Energy Keepers, Inc.	NWMT	NWMT	NF
24	Energy Keepers, Inc.	NWMT	PacifiCorp	SFP
25	Energy Keepers, Inc.	NWMT	PacifiCorp	NF
26	Energy Keepers, Inc.	NWMT	PacifiCorp	NF
27	Energy Keepers, Inc.	NWMT	PacifiCorp	LFP
28	Energy Keepers, Inc.	NWMT	PacifiCorp	NF
29	Energy Keepers, Inc.	NWMT	PacifiCorp	SFP
30	Energy Keepers, Inc.	MATL	PacifiCorp	NF
31	Energy Keepers, Inc.	MATL	PacifiCorp	SFP
32	Energy Keepers, Inc.	AVISTA	PacifiCorp	NF
33	Energy Keepers, Inc.	AVISTA	PacifiCorp	SFP
34	Energy Keepers, Inc.	BPA	NWMT	SFP
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	COLSTRIP	MATL.NWMT		15	15	1
Montana OATT	COLSTRIP	JEFF		90	90	2
Montana OATT	COLSTRIP	YTP		308	308	3
Montana OATT	COLSTRIP	YTP		1,296	1,296	4
Montana OATT	COLSTRIP	BRDY		663	663	5
Montana OATT	COLSTRIP	BRDY		120	120	6
Montana OATT	COLSTRIP	CROSSOVER		466	466	7
Montana OATT	AVAT.NWMT	NWMT.SYSTEM		750	750	8
Montana OATT	COLSTRIP	AVAT,NWMT		2	2	9
Montana OATT	BPAT.NWMT	NWMT.SYSTEM		41	41	10
Montana OATT	BPAT.NWMT	NWMT.SYSTEM		2,400	2,400	11
Montana OATT	BPAT.NWMT	COLSTRIP		261	261	12
Montana OATT	CROSSOVER	BPAT.NWMT		100	100	13
Montana OATT	CROSSOVER	BRDY		150	150	14
Montana OATT	CROSSOVER	YTP		50	50	15
Montana OATT	CROSSOVER	COLSTRIP		105	105	16
						17
Montana OATT	KERR	BPAT.NWMT	30	65,960	65,960	18
Montana OATT	KERR	BPAT.NWMT	12	26,484	26,484	19
Montana OATT	COLSTRIP	BRDY		264	264	20
Montana OATT	COLSTRIP	BRDY		11,986	11,986	21
Montana OATT	COLSTRIP	BRDY		22,560	22,560	22
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		7,618	7,618	23
Montana OATT	KERR	BRDY		45,323	45,323	24
Montana OATT	KERR	BRDY		96	96	25
Montana OATT	KERR	BRDY		40	40	26
Montana OATT	KERR	BRDY	25	55,200	55,200	27
Montana OATT	KERR	YTP		464	464	28
Montana OATT	KERR	YTP		7,272	7,272	29
Montana OATT	MATL.NWMT	YTP		120	120	30
Montana OATT	MATL.NWMT	YTP		960	960	31
Montana OATT	AVAT,NWMT	YTP		195	195	32
Montana OATT	AVAT.NWMT	YTP		2,760	2,760	33
Montana OATT	BPAT.NWMT	NWMT.SYSTEM		600	600	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	83		83	1
	499		499	2
	1,707		1,707	3
	7,182		7,182	4
	3,674		3,674	5
	665		665	6
	2,583		2,583	7
	4,157		4,157	8
	11		11	9
	227		227	10
	13,300		13,300	11
	1,446		1,446	12
	554		554	13
	831		831	14
	277		277	15
	582		582	16
				17
361,867			361,867	18
66,871			66,871	19
	1,463		1,463	20
	66,426		66,426	21
	125,020		125,020	22
	42,221		42,221	23
	245,281		245,281	24
	532		532	25
	222		222	26
301,556			301,556	27
	2,571		2,571	28
	40,299		40,299	29
	665		665	30
	5,320		5,320	31
	1,081		1,081	32
	15,295		15,295	33
	3,325		3,325	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.

2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).

3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)

4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Energy Keepers, Inc.	BPA	PacifiCorp	NF
2	Energy Keepers, Inc.	BPA	PacifiCorp	NF
3	Energy Keepers, Inc.	BPA	PacifiCorp	SFP
4	Energy Keepers, Inc.	BPA	PacifiCorp	SFP
5				
6	Capital Power Energy Marketing, Inc.	BPA	WAPA	NF
7	Capital Power Energy Marketing, Inc.	NWMT	NWMT	NF
8	Capital Power Energy Marketing, Inc.	MATL	BPAT	NF
9	Capital Power Energy Marketing, Inc.	MATL	BPAT	SFP
10	Capital Power Energy Marketing, Inc.	MATL	PacifiCorp	NF
11	Capital Power Energy Marketing, Inc.	PacifiCorp	BPAT	NF
12				
13	Exelon Energy	NWMT	NWMT	NF
14				
15	Guzman Energy, LLC	BPA	PacifiCorp	NF
16	Guzman Energy, LLC	Colstrip Partners	PacifiCorp	NF
17	Guzman Energy, LLC	NWMT	NWMT	NF
18	Guzman Energy, LLC	PacifiCorp	NWMT	NF
19	Guzman Energy, LLC	PacifiCorp	BPA	NF
20				
21	Portland General Electric Company	NWMT	NWMT	NF
22	Portland General Electric Company	NWMT	NWMT	NF
23	Portland General Electric Company	Colstrip Partners	BPA	NF
24	Portland General Electric Company	BPA	NWMT	NF
25	Portland General Electric Company	BPA	PacifiCorp	NF
26	Portland General Electric Company	Colstrip Partners	PacifiCorp	NF
27	Portland General Electric Company	Colstrip Partners	PacifiCorp	SFP
28				
29	Idaho Power Company	NWMT	NWMT	NF
30	Idaho Power Company	PacifiCorp	NWMT	NF
31	Idaho Power Company	AVISTA	PacifiCorp	NF
32	Idaho Power Company	AVISTA	PacifiCorp	SFP
33	Idaho Power Company	BPA	PacifiCorp	SFP
34				
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	BPAT.NWMT	BRDY		400	400	1
Montana OATT	BPAT.NWMT	YTP		1,050	1,050	2
Montana OATT	BPAT.NWMT	YTP		65,538	65,538	3
Montana OATT	BPAT.NWMT	YTP		33,600	33,600	4
						5
Montana OATT	BPAT.NWMT	CROSSOVER		42	42	6
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		33	33	7
Montana OATT	MATL.NWMT	BPAT.NWMT		1,021	1,021	8
Montana OATT	MATL.NWMT	BPAT.NWMT		6,120	6,120	9
Montana OATT	MATL.NWMT	YTP		252	252	10
Montana OATT	YTP	BPAT.NWMT		511	511	11
						12
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		3	3	13
						14
Montana OATT	BPAT.NWMT	YTP		875	875	15
Montana OATT	COLSTRIP	BRDY		2	2	16
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		15	15	17
Montana OATT	YTP	COLSTRIP		2	2	18
Montana OATT	YTP	BPAT.NWMT		1	1	19
						20
Montana OATT	COLSTRIP	NWMT.SYSTEM		20	20	21
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		436	436	22
Montana OATT	COLSTRIP	BPAT.NWMT		5,650	5,650	23
Montana OATT	BPAT.NWMT	COLSTRIP		474	474	24
Montana OATT	BPAT.NWMT	YTP		90	90	25
Montana OATT	COLSTRIP	YTP		1,280	1,280	26
Montana OATT	COLSTRIP	YTP		12,600	12,600	27
						28
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		655	655	29
Montana OATT	BRDY	NWMT.SYSTEM		13	13	30
Montana OATT	AVAT.NWMT	JEFF		64	64	31
Montana OATT	AVAT.NWMT	JEFF		30,429	30,429	32
Montana OATT	BPAT.NWMT	JEFF		3,600	3,600	33
						34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	2,217		2,217	1
	5,819		5,819	2
	363,356		363,356	3
	185,600		185,600	4
				5
	233		233	6
	181		181	7
	5,658		5,658	8
	33,915		33,915	9
	1,397		1,397	10
	2,832		2,832	11
				12
	17		17	13
				14
	4,849		4,849	15
	11		11	16
	81		81	17
	11		11	18
	6		6	19
				20
	111		111	21
	2,416		2,416	22
	31,312		31,312	23
	2,627		2,627	24
	499		499	25
	7,094		7,094	26
	69,825		69,825	27
				28
	3,629		3,629	29
	72		72	30
	355		355	31
	169,043		169,043	32
	19,950		19,950	33
				34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)
4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Morgan Stanley Capital Group	PacifiCorp	Glacier Wind	NF
2	Morgan Stanley Capital Group	PacifiCorp	MATL	NF
3	Morgan Stanley Capital Group	PacifiCorp	MATL	NF
4	Morgan Stanley Capital Group	BPA	PacifiCorp	NF
5	Morgan Stanley Capital Group	BPA	PacifiCorp	NF
6	Morgan Stanley Capital Group	BPA	PacifiCorp	NF
7	Morgan Stanley Capital Group	BPA	PacifiCorp	SFP
8	Morgan Stanley Capital Group	BPA	PacifiCorp	SFP
9	Morgan Stanley Capital Group	BPA	AVISTA	NF
10	Morgan Stanley Capital Group	BPA	NWMT	NF
11	Morgan Stanley Capital Group	BPA	MATL	SFP
12	Morgan Stanley Capital Group	BPA	MATL	SFP
13	Morgan Stanley Capital Group	BPA	MATL	NF
14	Morgan Stanley Capital Group	BPA	Glacier Wind	NF
15	Morgan Stanley Capital Group	MATL	AVISTA	NF
16	Morgan Stanley Capital Group	MATL	AVISTA	SFP
17	Morgan Stanley Capital Group	MATL	AVISTA	SFP
18	Morgan Stanley Capital Group	MATL	AVISTA	SFP
19	Morgan Stanley Capital Group	CNTP	BPA	NF
20	Morgan Stanley Capital Group	MATL	BPA	NF
21	Morgan Stanley Capital Group	MATL	BPA	SFP
22	Morgan Stanley Capital Group	MATL	BPA	NF
23	Morgan Stanley Capital Group	MATL	BPA	SFP
24	Morgan Stanley Capital Group	MATL	PacifiCorp	NF
25	Morgan Stanley Capital Group	MATL	PacifiCorp	NF
26	Morgan Stanley Capital Group	MATL	PacifiCorp	SFP
27	Morgan Stanley Capital Group	NWMT	PacifiCorp	NF
28	Morgan Stanley Capital Group	NWMT	PacifiCorp	SFP
29	Morgan Stanley Capital Group	NWMT	NWMT	NF
30	Morgan Stanley Capital Group	CNTP	MATL	NF
31	Morgan Stanley Capital Group	CNTP	MATL	SFP
32	Morgan Stanley Capital Group	NWMT	MATL	NF
33	Morgan Stanley Capital Group	NWMT	MATL	NF
34	Morgan Stanley Capital Group	Colstrip Partners	MATL	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	BRDY	GLWND1		6	6	1
Montana OATT	BRDY	MATL.NWMT		339	339	2
Montana OATT	YTP	MATL.NWMT		180	180	3
Montana OATT	BPAT.NWMT	YTP		955	955	4
Montana OATT	BPAT.NWMT	BRDY		1,508	1,508	5
Montana OATT	BPAT.NWMT	JEFF		1,241	1,241	6
Montana OATT	BPAT.NWMT	JEFF		432	432	7
Montana OATT	BPAT.NWMT	JEFF		48	48	8
Montana OATT	BPAT.NWMT	AVAT.NWMT		23	23	9
Montana OATT	BPAT.NWMT	NWMT.SYSTEM		49	49	10
Montana OATT	BPAT.NWMT	MATL.NWMT		294,800	294,800	11
Montana OATT	BPAT.NWMT	MATL.NWMT		8,088	8,088	12
Montana OATT	BPAT.NWMT	MATL.NWMT		14,457	14,457	13
Montana OATT	BPAT.NWMT	GLWND1		781	781	14
Montana OATT	MATL.NWMT	AVAT.NWMT		1,614	1,614	15
Montana OATT	MATL.NWMT	AVAT.NWMT		456	456	16
Montana OATT	MATL.NWMT	AVAT.NWMT		11,690	11,690	17
Montana OATT	MATL.NWMT	AVAT.NWMT		11,025	11,025	18
Montana OATT	HARDIN	BPAT.NWMT		265	265	19
Montana OATT	MATL.NWMT	BPAT.NWMT		12,161	12,161	20
Montana OATT	MATL.NWMT	BPAT.NWMT		15,672	15,672	21
Montana OATT	MATL.NWMT	BPAT.NWMT		1,200	1,200	22
Montana OATT	MATL.NWMT	BPAT.NWMT		10,900	10,900	23
Montana OATT	MATL.NWMT	BRDY		2,737	2,737	24
Montana OATT	MATL.NWMT	JEFF		2,224	2,224	25
Montana OATT	MATL.NWMT	JEFF		7,680	7,680	26
Montana OATT	MATL.NWMT	YTP		2,203	2,203	27
Montana OATT	MATL.NWMT	YTP		1,800	1,800	28
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		13,640	13,640	29
Montana OATT	HARDIN	MATL.NWMT		335	335	30
Montana OATT	HARDIN	MATL.NWMT		288	288	31
Montana OATT	COLSTRIP	MATL.NWMT		439	439	32
Montana OATT	JUDITH GAP	MATL.NWMT		58	58	33
Montana OATT	CROOKED FALLS	MATL.NWMT		2	2	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	33		33	1
	1,879		1,879	2
	998		998	3
	5,293		5,293	4
	8,357		8,357	5
	6,878		6,878	6
	2,394		2,394	7
	266		266	8
	127		127	9
	272		272	10
	1,608,400		1,608,400	11
	24,121		24,121	12
	80,121		80,121	13
	4,328		4,328	14
	8,945		8,945	15
	2,527		2,527	16
	64,960		64,960	17
	60,315		60,315	18
	1,469		1,469	19
	67,396		67,396	20
	86,849		86,849	21
	6,650		6,650	22
	60,320		60,320	23
	15,168		15,168	24
	12,325		12,325	25
	42,560		42,560	26
	12,209		12,209	27
	9,975		9,975	28
	75,593		75,593	29
	1,857		1,857	30
	1,596		1,596	31
	2,433		2,433	32
	321		321	33
	11		11	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.

2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).

3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)

4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Morgan Stanley Capital Group	NWMT	MATL	NF
2	Morgan Stanley Capital Group	CNTP	Glacier Wind	NF
3	Morgan Stanley Capital Group	MATL	Glacier Wind	NF
4	Morgan Stanley Capital Group	MATL	WAPA	NF
5	Morgan Stanley Capital Group	Glacier Wind	AVISTA	SFP
6	Morgan Stanley Capital Group	Glacier Wind	AVISTA	NF
7	Morgan Stanley Capital Group	Glacier Wind	AVISTA	NF
8	Morgan Stanley Capital Group	Glacier Wind	AVISTA	SFP
9	Morgan Stanley Capital Group	Glacier Wind	BPA	NF
10	Morgan Stanley Capital Group	Glacier Wind	BPA	SFP
11	Morgan Stanley Capital Group	Glacier Wind	BPA	NF
12	Morgan Stanley Capital Group	Glacier Wind	BPA	NF
13	Morgan Stanley Capital Group	Glacier Wind	BPA	SFP
14	Morgan Stanley Capital Group	Glacier Wind	BPA	NF
15	Morgan Stanley Capital Group	Glacier Wind	NWMT	NF
16	Morgan Stanley Capital Group	Glacier Wind	NWMT	SFP
17	Morgan Stanley Capital Group	Glacier Wind	MATL	NF
18	Morgan Stanley Capital Group	Glacier Wind	MATL	NF
19	Morgan Stanley Capital Group	Glacier Wind	MATL	NF
20	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
21	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
22	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
23	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	SFP
24	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
25	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	SFP
26				
27	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
28	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
29	Morgan Stanley Capital Group	Glacier Wind	PacifiCorp	NF
30	Morgan Stanley Capital Group	Glacier Wind	WAPA	NF
31	Morgan Stanley Capital Group	Glacier Wind	WAPA	NF
32	Morgan Stanley Capital Group	AVISTA	Glacier Wind	NF
33	Morgan Stanley Capital Group	AVISTA	MATL	SFP
34	Morgan Stanley Capital Group	AVISTA	MATL	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

- In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
- Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
- Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
- Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	STILLWIND	MATL.NWMT		90	90	1
Montana OATT	HARDIN	GLWND1		2	2	2
Montana OATT	MATL.NWMT	GLWND1		321	321	3
Montana OATT	MATL.NWMT	CROSSOVER		977	977	4
Montana OATT	GLWND1	AVAT.NWMT		1,512	1,512	5
Montana OATT	GLWND1	AVAT.NWMT		1,734	1,734	6
Montana OATT	GLWND2	AVAT.NWMT		1,118	1,118	7
Montana OATT	GLWND2	AVAT.NWMT		480	480	8
Montana OATT	GLWND1	BPAT.NWMT		6,237	6,237	9
Montana OATT	GLWND1	BPAT.NWMT		7,992	7,992	10
Montana OATT	GLWND1	BPAT.NWMT		720	720	11
Montana OATT	GLWND2	BPAT.NWMT		4,235	4,235	12
Montana OATT	GLWND2	BPAT.NWMT		4,800	4,800	13
Montana OATT	GLWND2	BPAT.NWMT		480	480	14
Montana OATT	GLWND2	NWMT.SYSTEM		6	6	15
Montana OATT	GLWND2	NWMT.SYSTEM		20,636	20,636	16
Montana OATT	GLWND1	MATL.NWMT		8,240	8,240	17
Montana OATT	GLWND2	MATL.NWMT		2,374	2,374	18
Montana OATT	GLWND2	MATL.NWMT		315	315	19
Montana OATT	GLWND1	BRDY		1,024	1,024	20
Montana OATT	GLWND2	BRDY		413	413	21
Montana OATT	GLWND1	JEFF		1,810	1,810	22
Montana OATT	GLWND1	JEFF		5,592	5,592	23
Montana OATT	GLWND2	JEFF		868	868	24
Montana OATT	GLWND2	JEFF		4,608	4,608	25
						26
Montana OATT	GLWND2	JEFF		48	48	27
Montana OATT	GLWND1	YTP		1,817	1,817	28
Montana OATT	GLWND2	YTP		453	453	29
Montana OATT	GLWND1	CROSSOVER		600	600	30
Montana OATT	GLWND2	CROSSOVER		90	90	31
Montana OATT	AVAT.NWMT	GLWND1		447	447	32
Montana OATT	AVAT.NWMT	MATL.NWMT		7,682	7,682	33
Montana OATT	AVAT.NWMT	MATL.NWMT		11,025	11,025	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	499		499	1
	11		11	2
	1,779		1,779	3
	5,415		5,415	4
	8,379		8,379	5
	9,610		9,610	6
	6,196		6,196	7
	2,660		2,660	8
	34,565		34,565	9
	44,289		44,289	10
	3,990		3,990	11
	23,470		23,470	12
	26,600		26,600	13
	2,660		2,660	14
	33		33	15
	112,588		112,588	16
	45,666		45,666	17
	13,157		13,157	18
	1,746		1,746	19
	5,675		5,675	20
	2,289		2,289	21
	10,031		10,031	22
	30,989		30,989	23
	4,810		4,810	24
	25,536		25,536	25
				26
	266		266	27
	10,070		10,070	28
	2,511		2,511	29
	3,325		3,325	30
	499		499	31
	2,477		2,477	32
	42,574		42,574	33
	60,315		60,315	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)
4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Morgan Stanley Capital Group	AVISTA	PacifiCorp	NF
2	Morgan Stanley Capital Group	AVISTA	PacifiCorp	NF
3	Morgan Stanley Capital Group	AVISTA	BPA	NF
4	Morgan Stanley Capital Group	WAPA	AVISTA	NF
5	Morgan Stanley Capital Group	WAPA	BPA	NF
6	Morgan Stanley Capital Group	WAPA	MATL	NF
7	Morgan Stanley Capital Group	WAPA	MATL	NF
8	Morgan Stanley Capital Group	WAPA	MATL	NF
9	Morgan Stanley Capital Group	WAPA	PacifiCorp	NF
10	Morgan Stanley Capital Group	WAPA	PacifiCorp	NF
11	Morgan Stanley Capital Group	WAPA	PacifiCorp	NF
12	Morgan Stanley Capital Group	WAPA	WAPA	NF
13				
14	Naturener Power Watch, LLC	NWMT	NWMT	NF
15	Naturener Power Watch, LLC	NWMT	PacifiCorp	NF
16	Naturener Power Watch, LLC	Glacier Wind	Glacier Wind	SFP
17				
18	MAG Energy Solutions	MATL	NWMT	NF
19	MAG Energy Solutions	NWMT	MATL	NF
20	MAG Energy Solutions	NWMT	MATL	NF
21	MAG Energy Solutions	PacifiCorp	MATL	NF
22	MAG Energy Solutions	PacifiCorp	BPA	NF
23	MAG Energy Solutions	WAPA	BPA	NF
24	MAG Energy Solutions	WAPA	PacifiCorp	NF
25	MAG Energy Solutions	WAPA	PacifiCorp	NF
26				
27	Macquarie Energy LLC	AVISTA	PacifiCorp	NF
28	Macquarie Energy LLC	BPA	PacifiCorp	NF
29	Macquarie Energy LLC	BPA	WAPA	NF
30	Macquarie Energy LLC	BPA	WAPA	SFP
31	Macquarie Energy LLC	NWMT	NWMT	NF
32	Macquarie Energy LLC	NWMT	BPA	NF
33	Macquarie Energy LLC	NWMT	BPA	SFP
34	Macquarie Energy LLC	NWMT	BPA	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.

6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.

7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.

8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	AVAT.NWMT	YTP		138	138	1
Montana OATT	AVAT.NWMT	JEFF		120	120	2
Montana OATT	AVAT.NWMT	BPAT.NWMT		5	5	3
Montana OATT	GREAT FALLS	AVAT.NWMT		169	169	4
Montana OATT	GREAT FALLS	BPAT.NWMT		547	547	5
Montana OATT	GREAT FALLS	MATL.NWMT		659	659	6
Montana OATT	GREAT FALLS	MATL.NWMT		5,659	5,659	7
Montana OATT	CROSSOVER	MATL.NWMT		490	490	8
Montana OATT	GREAT FALLS	YTP		474	474	9
Montana OATT	GREAT FALLS	JEFF		307	307	10
Montana OATT	GREAT FALLS	BRDY		274	274	11
Montana OATT	GREAT FALLS	CROSSOVER		60	60	12
						13
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		149	149	14
Montana OATT	HORSESHOE	JEFF		24	24	15
Montana OATT	GLWND1	GLWND2		11,025	11,025	16
						17
Montana OATT	MATL.NWMT	NWMT.SYSTEM		28	28	18
Montana OATT	MATL.NWMT	BRDY		8,088	8,088	19
Montana OATT	MATL.NWMT	BRDY		24	24	20
Montana OATT	JEFF	MATL.NWMT		2	2	21
Montana OATT	YTP	BPAT.NWMT		40	40	22
Montana OATT	CROSSOVER	BPAT.NWMT		57	57	23
Montana OATT	CROSSOVER	BRDY		144	144	24
Montana OATT	CROSSOVER	JEFF		171	171	25
						26
Montana OATT	AVAT.NWMT	BRDY		1,200	1,200	27
Montana OATT	BPAT.NWMT	BRDY		600	600	28
Montana OATT	BPAT.NWMT	CROSSOVER		2,216	2,216	29
Montana OATT	BPAT.NWMT	CROSSOVER		1,536	1,536	30
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		313	313	31
Montana OATT	CANYON FERRY	BPAT.NWMT		528	528	32
Montana OATT	CANYON FERRY	BPAT.NWMT		1,440	1,440	33
Montana OATT	CANYON FERRY	BPAT.NWMT		168	168	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)
4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Macquarie Energy LLC	NWMT	BPA	NF
2	Macquarie Energy LLC	NWMT	BPA	NF
3	Macquarie Energy LLC	NWMT	PacifiCorp	NF
4	Macquarie Energy LLC	NWMT	PacifiCorp	SFP
5	Macquarie Energy LLC	NWMT	PacifiCorp	NF
6	Macquarie Energy LLC	NWMT	PacifiCorp	SFP
7	Macquarie Energy LLC	NWMT	WAPA	NF
8	Macquarie Energy LLC	NWMT	WAPA	NF
9	Macquarie Energy LLC	NWMT	WAPA	NF
10	Macquarie Energy LLC	WAPA	PacifiCorp	NF
11				
12	Phillips 66 Company	BPA	WAPA	NF
13	Phillips 66 Company	NWMT	NWMT	NF
14				
15	Rainbow Energy Marketing Corp	WAPA	NWMT	NF
16	Rainbow Energy Marketing Corp	WAPA	BPA	NF
17	Rainbow Energy Marketing Corp	BPA	PacifiCorp	NF
18	Rainbow Energy Marketing Corp	BPA	PacifiCorp	NF
19	Rainbow Energy Marketing Corp	BPA	PacifiCorp	SFP
20	Rainbow Energy Marketing Corp	BPA	PacifiCorp	SFP
21	Rainbow Energy Marketing Corp	BPA	PacifiCorp	NF
22	Rainbow Energy Marketing Corp	AVISTA	PacifiCorp	NF
23	Rainbow Energy Marketing Corp	AVISTA	PacifiCorp	SFP
24	Rainbow Energy Marketing Corp	AVISTA	PacifiCorp	NF
25	Rainbow Energy Marketing Corp	AVISTA	PacifiCorp	NF
26	Rainbow Energy Marketing Corp	WAPA	AVISTA	NF
27	Rainbow Energy Marketing Corp	NWMT	NWMT	NF
28	Rainbow Energy Marketing Corp	AVAT	WAPA	NF
29	Rainbow Energy Marketing Corp	PacifiCorp	PacifiCorp	NF
30	Rainbow Energy Marketing Corp	PacifiCorp	PacifiCorp	NF
31	Rainbow Energy Marketing Corp	Colstrip Partners	PacifiCorp	NF
32	Rainbow Energy Marketing Corp	MATL	BPA	NF
33	Rainbow Energy Marketing Corp	MATL	BPA	SFP
34	Rainbow Energy Marketing Corp	Colstrip Partners	PacifiCorp	SFP
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	266		266	1
	133		133	2
	4,522		4,522	3
	11,172		11,172	4
	6,650		6,650	5
	46,550		46,550	6
	3,990		3,990	7
	2,217		2,217	8
	1,951		1,951	9
	942		942	10
				11
	111		111	12
	2		2	13
				14
	28		28	15
	1,031		1,031	16
	698		698	17
	3,469		3,469	18
	5,320		5,320	19
	59,983		59,983	20
	1,995		1,995	21
	1,607		1,607	22
	52,402		52,402	23
	3,325		3,325	24
	6,650		6,650	25
	565		565	26
	2,405		2,405	27
	443		443	28
	2,682		2,682	29
	1,735		1,735	30
	3,325		3,325	31
	1,552		1,552	32
	39,235		39,235	33
	1,330		1,330	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.

2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).

3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)

4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Rainbow Energy Marketing Corp	PacifiCorp	WAPA	NF
2	Rainbow Energy Marketing Corp	WAPA	PacifiCorp	NF
3	Rainbow Energy Marketing Corp	WAPA	PacifiCorp	NF
4				
5	Talen Energy Marketing, LLC	WAPA	PacifiCorp	LFP
6	Talen Energy Marketing, LLC	NWMT	BPAT	LFP
7	Talen Energy Marketing, LLC	NWMT	PacifiCorp	LFP
8				
9	Powerex Corporation	BPA	PacifiCorp	NF
10	Powerex Corporation	BPA	PacifiCorp	SFP
11	Powerex Corporation	PacifiCorp	BPA	NF
12	Powerex Corporation	MATL	BPA	NF
13	Powerex Corporation	MATL	BPA	SFP
14	Powerex Corporation	BPA	PacifiCorp	NF
15	Powerex Corporation	BPA	WAPA	NF
16	Powerex Corporation	NWMT	NWMT	NF
17	Powerex Corporation	MATL	PacifiCorp	LFP
18	Powerex Corporation	MATL	PacifiCorp	SFP
19	Powerex Corporation	BPA	PacifiCorp	NF
20	Powerex Corporation	BPA	PacifiCorp	SFP
21	Powerex Corporation	PacifiCorp	BPA	NF
22	Powerex Corporation	MATL	AVISTA	SFP
23	Powerex Corporation	PacifiCorp	WAPA	NF
24	Powerex Corporation	AVISTA	PacifiCorp	NF
25	Powerex Corporation	AVISTA	WAPA	NF
26	Powerex Corporation	WAPA	BPA	NF
27				
28	Puget Sound Energy Marketing	BPA	NWMT	NF
29				
30	Tenaska	NWMT	NWMT	NF
31	Tenaska	NWMT	PacifiCorp	NF
32	Tenaska	NWMT	PacifiCorp	NF
33				
34	TransAlta Energy Marketing	BPA	PacifiCorp	NF
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
Montana OATT	YTP	CROSSOVER		30	30	1
Montana OATT	CROSSOVER	YTP		817	817	2
Montana OATT	CROSSOVER	JEFF		102	102	3
						4
Montana OATT	CROSSOVER	BRDY	15	33,120	33,120	5
Montana OATT	GTFALLSNWMT	BPAT.NWMT	25	55,200	55,200	6
Montana OATT	CROOKED FALLS	JEFF	7	15,456	15,456	7
						8
Montana OATT	BPAT.NWMT	JEFF		649	649	9
Montana OATT	BPAT.NWMT	JEFF		1,440	1,440	10
Montana OATT	YTP	BPAT.NWMT		830	830	11
Montana OATT	MATL.NWMT	BPAT.NWMT		22,893	22,893	12
Montana OATT	MATL.NWMT	BPAT.NWMT		5,760	5,760	13
Montana OATT	BPAT.NWMT	YTP		3,586	3,586	14
Montana OATT	BPAT.NWMT	CROSSOVER		138	138	15
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		2,265	2,265	16
Montana OATT	MATL.NWMT	BRDY	30	66,240	66,240	17
Montana OATT	MATL.NWMT	JEFF		192	192	18
Montana OATT	BPAT.NWMT	BRDY		853	853	19
Montana OATT	BPAT.NWMT	BRDY		624	624	20
Montana OATT	BRDY	BPAT.NWMT		67	67	21
Montana OATT	MATL.NWMT	AVAT.NWMT		360	360	22
Montana OATT	YTP	CROSSOVER		37	37	23
Montana OATT	AVAT.NWMT	YTP		1,805	1,805	24
Montana OATT	AVAT.NWMT	CROSSOVER		399	399	25
Montana OATT	CROSSOVER	BPAT.NWMT		225	225	26
						27
Montana OATT	BPAT.NWMT	COLSTRIP		350	350	28
						29
Montana OATT	NWMT.SYSTEM	NWMT.SYSTEM		23	23	30
Montana OATT	COLSTRIP	BRDY		2,592	2,592	31
Montana OATT	COLSTRIP	YTP		42	42	32
						33
Montana OATT	BPAT.NWMT	YTP		528	528	34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	166		166	1
	4,528		4,528	2
	565		565	3
				4
180,934			180,934	5
301,556			301,556	6
84,436			84,436	7
				8
	3,597		3,597	9
	7,980		7,980	10
	4,600		4,600	11
	126,873		126,873	12
	31,920		31,920	13
	19,874		19,874	14
	765		765	15
	12,554		12,554	16
361,868			361,868	17
	1,064		1,064	18
	4,727		4,727	19
	3,458		3,458	20
	371		371	21
	1,995		1,995	22
	205		205	23
	10,003		10,003	24
	2,211		2,211	25
	1,247		1,247	26
				27
	1,940		1,940	28
				29
	129		129	30
	14,364		14,364	31
	233		233	32
				33
	2,926		2,926	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c)
4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	TransAlta Energy Marketing	BPA	PacifiCorp	NF
2	TransAlta Energy Marketing	BPA	WAPA	NF
3	TransAlta Energy Marketing	BPA	PacifiCorp	SFP
4	TransAlta Energy Marketing	AVISTA	NWMT	NF
5	TransAlta Energy Marketing	AVISTA	PacifiCorp	NF
6	TransAlta Energy Marketing	BPA	PacifiCorp	NF
7	TransAlta Energy Marketing	NWMT	NWMT	NF
8	TransAlta Energy Marketing	MATL	BPA	SFP
9	TransAlta Energy Marketing	MATL	BPA	NF
10	TransAlta Energy Marketing	MATL	PacifiCorp	NF
11	TransAlta Energy Marketing	MATL	WAPA	NF
12	TransAlta Energy Marketing	PacifiCorp	BPA	NF
13	TransAlta Energy Marketing	PacifiCorp	WAPA	NF
14	TransAlta Energy Marketing	WAPA	BPA	NF
15	TransAlta Energy Marketing	WAPA	PacifiCorp	NF
16				
17	Cycle Power Partners, LLC	NWMT	PacifiCorp	NF
18	Cycle Power Partners, LLC	NWMT	PacifiCorp	NF
19	Cycle Power Partners, LLC	NWMT	NWMT	NF
20				
21	Tec Energy Inc	WAPA	PacifiCorp	NF
22	Tec Energy Inc	MATL	PacifiCorp	NF
23	Tec Energy Inc	NWMT	NWMT	NF
24				
25	The Energy Authority	PacifiCorp	NWMTT	NF
26	The Energy Authority	PacifiCorp	BPAT	NF
27	The Energy Authority	PacifiCorp	BPAT	NF
28	The Energy Authority	AVA	PPW	NF
29	The Energy Authority	WAPA	BPAT	NF
30	The Energy Authority	NWMT	NWMT	NF
31	The Energy Authority	BPA	PacifiCorp	NF
32				
33	SOUTH DAKOTA			
34	Bryant, City of	WAPA	BRYANT	LFP
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
	2,084		2,084	1
	2,932		2,932	2
	105		105	3
	1,585		1,585	4
	12,403		12,403	5
	471		471	6
	618		618	7
	18,620		18,620	8
	4,766		4,766	9
	2,904		2,904	10
	554		554	11
	2,217		2,217	12
	139		139	13
	2,078		2,078	14
	416		416	15
				16
	5,780		5,780	17
	5,520		5,520	18
	1		1	19
				20
	798		798	21
	78		78	22
	10		10	23
				24
	6,650		6,650	25
	1,108		1,108	26
	554		554	27
	831		831	28
	2,910		2,910	29
	20		20	30
	4,035		4,035	31
				32
				33
7,915			7,915	34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456.1)
(Including transactions referred to as 'wheeling')

1. Report all transmission of electricity, i.e., wheeling, provided for other electric utilities, cooperatives, other public authorities, qualifying facilities, non-traditional utility suppliers and ultimate customers for the quarter.
 2. Use a separate line of data for each distinct type of transmission service involving the entities listed in column (a), (b) and (c).
 3. Report in column (a) the company or public authority that paid for the transmission service. Report in column (b) the company or public authority that the energy was received from and in column (c) the company or public authority that the energy was delivered to. Provide the full name of each company or public authority. Do not abbreviate or truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation the respondent has with the entities listed in columns (a), (b) or (c).
 4. In column (d) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNO - Firm Network Service for Others, FNS - Firm Network Transmission Service for Self, LFP - "Long-Term Firm Point to Point Transmission Service, OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point to Point Transmission Reservation, NF - non-firm transmission service, OS - Other Transmission Service and AD - Out-of-Period Adjustments. Use this code for any accounting adjustments or "true-ups" for service provided in prior reporting periods. Provide an explanation in a footnote for each adjustment. See General Instruction for definitions of codes.

Line No.	Payment By (Company of Public Authority) (Footnote Affiliation) (a)	Energy Received From (Company of Public Authority) (Footnote Affiliation) (b)	Energy Delivered To (Company of Public Authority) (Footnote Affiliation) (c)	Statistical Classification (d)
1	Groton, City of	WAPA	GROTON	LFP
2	Langford, City of	WAPA	LANGFORD	LFP
3	Southwest Power Pool (SPP)	SPP	Various	LFP
4	Southwest Power Pool (SPP)	SPP	Various	FNS
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
21				
22				
23				
24				
25				
26				
27				
28				
29				
30				
31				
32				
33				
34				
	TOTAL			

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456)(Continued)
(Including transactions referred to as 'wheeling')

5. In column (e), identify the FERC Rate Schedule or Tariff Number, On separate lines, list all FERC rate schedules or contract designations under which service, as identified in column (d), is provided.
6. Report receipt and delivery locations for all single contract path, "point to point" transmission service. In column (f), report the designation for the substation, or other appropriate identification for where energy was received as specified in the contract. In column (g) report the designation for the substation, or other appropriate identification for where energy was delivered as specified in the contract.
7. Report in column (h) the number of megawatts of billing demand that is specified in the firm transmission service contract. Demand reported in column (h) must be in megawatts. Footnote any demand not stated on a megawatts basis and explain.
8. Report in column (i) and (j) the total megawatthours received and delivered.

FERC Rate Schedule of Tariff Number (e)	Point of Receipt (Substation or Other Designation) (f)	Point of Delivery (Substation or Other Designation) (g)	Billing Demand (MW) (h)	TRANSFER OF ENERGY		Line No.
				MegaWatt Hours Received (i)	MegaWatt Hours Delivered (j)	
VOL. 2	HURON 115 KV BUS	GROTON 69 KV		3,982	3,982	1
VOL. 2	HURON 115 KV BUS	LANGFORD 12.5 KV		812	812	2
SCH 7&8	Various	Various				3
SCH 9	Various	Various				4
						5
						6
						7
						8
						9
						10
						11
						12
						13
						14
						15
						16
						17
						18
						19
						20
						21
						22
						23
						24
						25
						26
						27
						28
						29
						30
						31
						32
						33
						34
			1,168	2,700,544	2,700,544	

TRANSMISSION OF ELECTRICITY FOR OTHERS (Account 456) (Continued)
(Including transactions referred to as 'wheeling')

9. In column (k) through (n), report the revenue amounts as shown on bills or vouchers. In column (k), provide revenues from demand charges related to the billing demand reported in column (h). In column (l), provide revenues from energy charges related to the amount of energy transferred. In column (m), provide the total revenues from all other charges on bills or vouchers rendered, including out of period adjustments. Explain in a footnote all components of the amount shown in column (m). Report in column (n) the total charge shown on bills rendered to the entity Listed in column (a). If no monetary settlement was made, enter zero (11011) in column (n). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.

10. The total amounts in columns (i) and (j) must be reported as Transmission Received and Transmission Delivered for annual report purposes only on Page 401, Lines 16 and 17, respectively.

11. Footnote entries and provide explanations following all required data.

REVENUE FROM TRANSMISSION OF ELECTRICITY FOR OTHERS

Demand Charges (\$) (k)	Energy Charges (\$) (l)	(Other Charges) (\$) (m)	Total Revenues (\$) (k+l+m) (n)	Line No.
258			258	1
6,578			6,578	2
	68,053		68,053	3
	1,750,285		1,750,285	4
				5
				6
				7
				8
				9
				10
				11
				12
				13
				14
				15
				16
				17
				18
				19
				20
				21
				22
				23
				24
				25
				26
				27
				28
				29
				30
				31
				32
				33
				34
9,963,781	7,901,800	0	17,865,581	

TRANSMISSION OF ELECTRICITY BY OTHERS (Account 565)
(Including transactions referred to as "wheeling")

1. Report all transmission, i.e. wheeling or electricity provided by other electric utilities, cooperatives, municipalities, other public authorities, qualifying facilities, and others for the quarter.
2. In column (a) report each company or public authority that provided transmission service. Provide the full name of the company, abbreviate if necessary, but do not truncate name or use acronyms. Explain in a footnote any ownership interest in or affiliation with the transmission service provider. Use additional columns as necessary to report all companies or public authorities that provided transmission service for the quarter reported.
3. In column (b) enter a Statistical Classification code based on the original contractual terms and conditions of the service as follows: FNS - Firm Network Transmission Service for Self, LFP - Long-Term Firm Point-to-Point Transmission Reservations. OLF - Other Long-Term Firm Transmission Service, SFP - Short-Term Firm Point-to-Point Transmission Reservations, NF - Non-Firm Transmission Service, and OS - Other Transmission Service. See General Instructions for definitions of statistical classifications.
4. Report in column (c) and (d) the total megawatt hours received and delivered by the provider of the transmission service.
5. Report in column (e), (f) and (g) expenses as shown on bills or vouchers rendered to the respondent. In column (e) report the demand charges and in column (f) energy charges related to the amount of energy transferred. On column (g) report the total of all other charges on bills or vouchers rendered to the respondent, including any out of period adjustments. Explain in a footnote all components of the amount shown in column (g). Report in column (h) the total charge shown on bills rendered to the respondent. If no monetary settlement was made, enter zero in column (h). Provide a footnote explaining the nature of the non-monetary settlement, including the amount and type of energy or service rendered.
6. Enter "TOTAL" in column (a) as the last line.
7. Footnote entries and provide explanations following all required data.

Line No.	Name of Company or Public Authority (Footnote Affiliations) (a)	Statistical Classification (b)	TRANSFER OF ENERGY		EXPENSES FOR TRANSMISSION OF ELECTRICITY BY OTHERS			
			Megawatt-hours Received (c)	Megawatt-hours Delivered (d)	Demand Charges (\$) (e)	Energy Charges (\$) (f)	Other Charges (\$) (g)	Total Cost of Transmission (\$) (h)
1	MONTANA							
2	Vigilante Elec Coop	OLF	6,244	6,244	13,274			13,274
3	Bonneville Power Admin	OLF					159,915	159,915
4	Bonneville Power Admin	OLF					753,281	753,281
5	Southwest Power Pool	FNS	26,433	26,433	347,582			347,582
6	Glacier Electric Coop	OLF						
7								
8	SUPPLY							
9	Avista Utilities	NF	9,959	9,959		52,112		52,112
10	Bonneville Power Admin	NF	12,904	12,904		229,596		229,596
11	Talen Energy LLC	NF	36,000	36,000		92,925		92,925
12	Seattle City Light	NF	1,377	1,962		2,276		2,276
13								
14	SOUTH DAKOTA							
15	East River	FNS			290			290
16	Southwest Power Pool	FNS			5,732,411			5,732,411
	TOTAL		92,917	93,502	6,093,557	376,909	913,196	7,383,662

Depreciation, Depletion and Amortization of Electric Plant (Accts 403, 403.1, 404, and 405) (Except Amortization of Acquisition Adjustments)

1. Report the year to date amounts of depreciation expense, asset retirement cost depreciation, depletion and amortization, except amortization of acquisition adjustments for the accounts indicated and classified according to the plant functional groups described.

Line No.	Functional Classification (a)	Depreciation Expense (Account 403) (b)	Depreciation Expense for Asset Retirement Costs (Account 403.1) (c)	Amortization of Other Limited-Term Electric Plant (Account 404) (e)	Amortization of Other Electric Plant (Account 405) (e)	Total (f)
1	Intangible Plant			651,325		651,325
2	Steam Production Plant	5,191,944				5,191,944
3	Nuclear Production Plant					
4	Hydraulic Production Plant Conv	7,298,242				7,298,242
5	Hydraulic Production Plant - Pumped Storage					
6	Other Production Plant	12,622,326		2,652		12,624,978
7	Transmission Plant	17,946,846		364,364		18,311,210
8	Distribution Plant	39,889,869		-19,029		39,870,840
9	General Plant	7,269,796				7,269,796
10	Common Plant	3,786,211		3,725,794		7,512,005
11	TOTAL ELECTRIC (lines 2 through 10)	94,005,234		4,725,106		98,730,340

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AMOUNTS INCLUDED IN ISO/RTO SETTLEMENT STATEMENTS

1. The respondent shall report below the details called for concerning amounts it recorded in Account 555, Purchase Power, and Account 447, Sales for Resale, for items shown on ISO/RTO Settlement Statements. Transactions should be separately netted for each ISO/RTO administered energy market for purposes of determining whether an entity is a net seller or purchaser in a given hour. Net megawatt hours are to be used as the basis for determining whether a net purchase or sale has occurred. In each monthly reporting period, the hourly sale and purchase net amounts are to be aggregated and separately reported in Account 447, Sales for Resale, or Account 555, Purchased Power, respectively.

Line No.	Description of Item(s) (a)	Balance at End of Quarter 1 (b)	Balance at End of Quarter 2 (c)	Balance at End of Quarter 3 (d)	Balance at End of Year (e)
1	Energy				
2	Net Purchases (Account 555)			25,309,383	
3	Net Sales (Account 447)			(24,753,685)	
4	Transmission Rights				
5	Ancillary Services			28,589	
6	Other Items (list separately)				
7	Day Ahead & Real Time Admin			100,060	
8	Market Monitoring & Compliance			14,294	
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
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31					
32					
33					
34					
35					
36					
37					
38					
39					
40					
41					
42					
43					
44					
45					
46	TOTAL			698,641	

MONTHLY PEAKS AND OUTPUT

- (1) (1) Report the monthly peak load and energy output. If the respondent has two or more power systems which are not physically integrated, furnish the required information for each non- integrated system. In quarter 1 report January, February, and March only. In quarter 2 report April, May, and June only. In quarter 3 report July, August, and September only.
- (2) Report on column (b) by month the system's output in Megawatt hours for each month.
- (3) Report on column (c) by month the non-requirements sales for resale. Include in the monthly amounts any energy losses associated with the sales.
- (4) Report on column (d) by month the system's monthly maximum megawatt load (60 minute integration) associated with the system.
- (5) Report on columns (e) and (f) the specified information for each monthly peak load reported on column (d).
- (6) Report Monthly Peak Hours in military time; 0100 for 1:00 AM, 1200 for 12 AM, and 1830 for 6:30 PM, etc.

NAME OF SYSTEM: See footnote

Line No.	Month (a)	Total Monthly Energy (MWH) (b)	Monthly Non-Requirements Sales for Resale & Associated Losses (c)	MONTHLY PEAK		
				Megawatts (See Instr. 4) (d)	Day of Month (e)	Hour (f)
1	January				0	0
2	February				0	0
3	March				0	0
4	Total					
5	April				0	0
6	May				0	0
7	June				0	0
8	Total					
9	July				0	0
10	August				0	0
11	September				0	0
12	Total					

Name of Respondent
NorthWestern Corporation

This Report Is:
(1) An Original
(2) A Resubmission

Date of Report
(Mo, Da, Yr)
09/30/2020

Year/Period of Report
End of 2020/Q3

MONTHLY TRANSMISSION SYSTEM PEAK LOAD

- (1) Report the monthly peak load on the respondent's transmission system. If the respondent has two or more power systems which are not physically integrated, furnish the required information for each non-integrated system.
 (2) Report on Column (b) by month the transmission system's peak load.
 (3) Report on Columns (c) and (d) the specified information for each monthly transmission - system peak load reported on Column (b).
 (4) Report on Columns (e) through (j) by month the system' monthly maximum megawatt load by statistical classifications. See General Instruction for the definition of each statistical classification.

NAME OF SYSTEM: Montana Operations

Line No.	Month (a)	Monthly Peak MW - Total (b)	Day of Monthly Peak (c)	Hour of Monthly Peak (d)	Firm Network Service for Self (e)	Firm Network Service for Others (f)	Long-Term Firm Point-to-point Reservations (g)	Other Long-Term Firm Service (h)	Short-Term Firm Point-to-point Reservation (i)	Other Service (j)
1	January									
2	February									
3	March									
4	Total for Quarter 1									
5	April									
6	May									
7	June									
8	Total for Quarter 2									
9	July	1,838	30	1800	1,136	603	165	12	3,198	
10	August	1,855	17	1700	1,171	612	165	12	7,028	
11	September	1,603	4	1900	1,005	556	180	12	1,954	
12	Total for Quarter 3				3,312	1,771	510	36	12,180	
13	October									
14	November									
15	December									
16	Total for Quarter 4									
17	Total Year to Date/Year				3,312	1,771	510	36	12,180	

Name of Respondent
NorthWestern Corporation

This Report Is:
(1) An Original
(2) A Resubmission

Date of Report
(Mo, Da, Yr)
09/30/2020

Year/Period of Report
End of 2020/Q3

MONTHLY ISO/RTO TRANSMISSION SYSTEM PEAK LOAD

- (1) Report the monthly peak load on the respondent's transmission system. If the Respondent has two or more power systems which are not physically integrated, furnish the required information for each non-integrated system.
- (2) Report on Column (b) by month the transmission system's peak load.
- (3) Report on Column (c) and (d) the specified information for each monthly transmission - system peak load reported on Column (b).
- (4) Report on Columns (e) through (i) by month the system's transmission usage by classification. Amounts reported as Through and Out Service in Column (g) are to be excluded from those amounts reported in Columns (e) and (f).
- (5) Amounts reported in Column (j) for Total Usage is the sum of Columns (h) and (i).

NAME OF SYSTEM: South Dakota Operations

Line No.	Month (a)	Monthly Peak MW - Total (b)	Day of Monthly Peak (c)	Hour of Monthly Peak (d)	Imports into ISO/RTO (e)	Exports from ISO/RTO (f)	Through and Out Service (g)	Network Service Usage (h)	Point-to-Point Service Usage (i)	Total Usage (j)
1	January									
2	February									
3	March									
4	Total for Quarter 1									
5	April									
6	May									
7	June									
8	Total for Quarter 2									
9	July	324	24	1500	339		15			
10	August	327	25	1700	342		15			
11	September	265	2	1700	277		12			
12	Total for Quarter 3				958		42			
13	October									
14	November									
15	December									
16	Total for Quarter 4									
17	Total Year to Date/Year				958		42			

Name of Respondent NorthWestern Corporation	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
FOOTNOTE DATA			

Schedule Page: 110 Line No.: 57 Column: c

South Dakota Operations Prepayments (165) are \$13,663,317 and \$10,355,387 for 2020 and 2019, respectively.

Montana Operations Prepayments (165) are \$2,935,962 and \$2,998,849 for 2020 and 2019, respectively.

Schedule Page: 110 Line No.: 81 Column: c

Montana Operations Unamortized Loss on Reacquired Debt (189) is \$24,757,717 and \$26,307,091 for 2020 and 2019, respectively.

Name of Respondent NorthWestern Corporation	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
FOOTNOTE DATA			

Schedule Page: 112 Line No.: 28 Column: c

South Dakota Operations Provision for Injuries and Damages (228.2) are \$655,667 and \$577,252 for 2020 and 2019, respectively.

Montana Operations Provision for Injuries and Damages (228.2) are \$7,474,215 and \$7,073,791 for 2020 and 2019, respectively.

Schedule Page: 112 Line No.: 48 Column: c

Montana Operations Miscellaneous Current and Accrued Liabilities (242) are \$48,981,971 and \$33,142,012 for 2020 and 2019, respectively.

Schedule Page: 112 Line No.: 56 Column: c

Montana Operations Customer Advances for Construction (252) are \$64,535,362 and \$56,869,680 for 2020 and 2019, respectively.

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
NorthWestern Corporation			
FOOTNOTE DATA			

Schedule Page: 120 Line No.: 6 Column: b

	9/30/2020	9/30/2019
Other Noncash Charges to Income, Net:		
Amortization of debt issue costs, discount, and deferred hedge gain	3,604,022	3,482,116
Other noncash losses (gains)	19,072	(4,798)
Stock based compensation costs	5,346,614	4,777,848
	8,969,708	8,255,166
Other Assets and Liabilities, Net:		
Net change - other current assets	(3,433,064)	(3,483,798)
Net change - accrued utility revenues	29,347,961	23,842,255
Net change - deferred debits	17,969,041	(25,251,662)
Net change - deferred credits	4,181,944	(11,235,579)
Net change - noncurrent liabilities	(7,373,093)	(6,286,374)
	40,692,789	(22,415,158)

Schedule Page: 120 Line No.: 6 Column: c

Refer to footnote at column (b) line 6 for details.

Schedule Page: 120 Line No.: 18 Column: b

Refer to footnote at column (b) line 6 for details.

Schedule Page: 120 Line No.: 18 Column: c

Refer to footnote at column (b) line 6 for details.

Schedule Page: 120 Line No.: 88 Column: b

The following table provides a reconciliation of cash, cash equivalents, other special funds, and other special deposits reported within the Balance Sheets that sum to the total cash and cash equivalents amount reflected in the Statement of Cash Flows:

	9/30/2020	12/31/2019	9/30/2019	12/31/2018
Cash (131)	2,660,460	4,652,822	4,648,200	7,419,945
Working Funds (135)	22,950	23,150	23,050	23,050
Special Funds (125-128)	250,000	250,000	250,000	250,000
Other Special Deposits (134)	8,842,060	5,202,171	7,320,503	5,705,336
	11,775,470	10,128,143	12,241,753	13,398,331

Schedule Page: 120 Line No.: 88 Column: c

Refer to footnote at column (b) line 88 for details.

Schedule Page: 120 Line No.: 90 Column: b

Refer to footnote at column (b) line 88 for details.

Schedule Page: 120 Line No.: 90 Column: c

Refer to footnote at column (b) line 88 for details.

Name of Respondent NorthWestern Corporation	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
FOOTNOTE DATA			

Schedule Page: 122(a)(b) Line No.: 2 Column: f
 Reclassification of net losses on derivative instruments.

Schedule Page: 122(a)(b) Line No.: 3 Column: e
 Foreign currency translation adjustment.

Schedule Page: 122(a)(b) Line No.: 7 Column: f
 Reclassification of net losses on derivative instruments.

Schedule Page: 122(a)(b) Line No.: 8 Column: e
 Foreign currency translation adjustment.

Name of Respondent NorthWestern Corporation	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
FOOTNOTE DATA			

Schedule Page: 200 Line No.: 1 Column: e

This column represents regulated propane.

Schedule Page: 200 Line No.: 1 Column: f

This column represents the write-down of plant values associated with the 2002 acquisition of Montana Operations, and the reduction from fair value to regulated basis associated with the transfer of Colstrip Unit 4 to the regulated utility in 2009.

Schedule Page: 200 Line No.: 1 Column: g

This column represents an electric default supply capacity and energy sales agreement classified as a capital lease.

Schedule Page: 200 Line No.: 1 Column: e

Footnote Linked. See note on 200, Row: 1, col/item:

Schedule Page: 200 Line No.: 1 Column: f

Footnote Linked. See note on 200, Row: 1, col/item:

Schedule Page: 200 Line No.: 1 Column: g

Footnote Linked. See note on 200, Row: 1, col/item:

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
NorthWestern Corporation			
FOOTNOTE DATA			

Schedule Page: 300 Line No.: 21 Column: b

Other Electric Revenue (456)	YTD September	
	2020	2019
Ancillary Services:		
Scheduling, System Control and Dispatch	\$ 1,024,329	\$ 1,723,531
Regulation and Frequency Response	2,123,200	1,501,117
Energy Imbalance	2,226,071	(1,823,070)
Other Transmission Revenue	(555,047)	151,826
Low Income Housing	1,916,808	2,240,114
Steam Sales	317,250	716,406
Sale of Materials	24,587	42,315
Miscellaneous	3,059	1,742
	\$ 7,080,257	\$ 4,553,981

Name of Respondent NorthWestern Corporation	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
FOOTNOTE DATA			

Schedule Page: 328.11 Line No.: 3 Column: n
 Firm and Non-Firm Point to Point Transmission Service

Schedule Page: 328.11 Line No.: 4 Column: n
 Network Integration Transmission Service

Name of Respondent NorthWestern Corporation	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
FOOTNOTE DATA			

Schedule Page: 332 Line No.: 3 Column: g Monthly system usage fee
Schedule Page: 332 Line No.: 4 Column: g Monthly system usage fee

Name of Respondent	This Report is: (1) <input checked="" type="checkbox"/> An Original (2) <input type="checkbox"/> A Resubmission	Date of Report (Mo, Da, Yr) 09/30/2020	Year/Period of Report 2020/Q3
NorthWestern Corporation			
FOOTNOTE DATA			

Schedule Page: 399 Line No.: 12 Column: b
MONTANA OPERATIONS

Line No	MONTHLY PEAK LOADS AND ENERGY OUTPUT (a)	Jul-20 (b)	Aug-20 (c)	Sep-20 (d)	Total for Quarter (d)
1					
2	Total Monthly Energy (MWH)	634,273	640,572	569,491	1,844,336
3	Monthly Non-Requirements Sales for Resale	74,253	97,783	35,475	207,511
4	Monthly Peak MW	2,015	2,032	1,795	5,842
5	Day of Month Peak	30	17	4	
6	Hour of Monthly Peak	1800	1700	1900	

SOUTH DAKOTA OPERATIONS

Line No	MONTHLY PEAK LOADS AND ENERGY OUTPUT (a)	Jul-20 (b)	Aug-20 (c)	Sep-20 (d)	Total for Quarter (d)
1					
2	Total Monthly Energy (MWH)	99,181	153,262	178,791	431,234
3	Monthly Non-Requirements Sales for Resale				
4	Monthly Peak MW	324	327	265	916
5	Day of Month Peak	24	25	2	
6	Hour of Monthly Peak	1500	1700	1700	